

Bank's Mandate form

For companies, corporate entities, partnerships and other legal entities

About this form

This form:

- is an important part of our process in connection with the products and services that we provide you and will help us with documenting your authorised persons in our systems
- will tell us what transactions and services offered by the Bank have been approved for your organisation
- will help us to identify persons authorised to act on your behalf in connection with the products and services that we provide you
- will provide us with a copy of the specimen signatures for your Approving Persons and will allow us to manage and authenticate your instructions
- does **NOT** need to be submitted where you have already provided us with your separate mandate or other evidence of your authority – Please contact us if you wish to present or otherwise rely solely on your own mandate. We may also, from time to time, contact you in relation to the refreshing of your mandate

This Bank's Mandate form has four (4) parts:

- (I) **Part A – Mandate** – provide the basic resolutions necessary for the Bank to accept instructions from you and to provide you the relevant products and services
- (II) **Part B – Declaration** – this section is to be signed by your relevant representative(s) who can certify the Mandate form
- (III) **Part C – List of Approving Persons** – identify, complete and provide us with the specimen signatures for each of your Approving Persons
- (IV) **Part D – List of Authorised Dealers** – Identify, complete and provide us with the details for each of your Authorised Dealers.

How to fill in this form

- Please use **BLOCK LETTERS** to complete this form
- Any field marked with an “*” must be completed
- Leave boxes blank if they do not apply to you
- If you make a mistake, please print a fresh page
- Please keep a copy of the completed form for your records
- If you require more Approving Persons or Authorised Dealers or require more space under Additional Instructions, please ask for a List of Approving Persons (which will include the list of Authorised Dealers) – Schedule form. The List of Approving Persons – Schedule form (which will include the list of Authorised Dealers) should be attached to this form and signed by the person who are approved to provide us with the details of the Approving Persons and Authorised Dealers in accordance with this Mandate. Alternatively, Part C and Part D of this form can be reprinted with the number of pages that have been reprinted clearly stated under Additional Instructions

Guidance Notes to this form

A. GENERAL

We may clarify any incomplete or inconsistent information in relation to your request under this form

B. PART A – MANDATE

- (i) **SCB entity** – you may have more than one relationship with entities under the Standard Chartered Group – please insert the relevant Standard Chartered entity to which you will be submitting this form
- (ii) **Client name** – insert your FULL legal name. This will help us connect the details under this form to the relevant Client entity
- (iii) **Approved Transactions** – The mandate will:
 - approve the core products and services which the Bank may make available to you, including accounts, cash management services, trade related services, lending services, Financial Markets Transactions and electronic banking (including Straight2Bank Web) services; and
 - approve you acting as authorised representative for and on behalf of your group members if it may be the case.

Note: Certain services (e.g. domestic notional pooling and cross-border / domestic sweeping services) require additional certification. Where relevant, we shall provide you with the relevant form to be completed.

- (iv) **Powers of Approving Persons** – The mandate will confirm the powers of the Approving Persons in connection with the Approved Transactions, including execution of documentation, providing notices and instructions to the Bank, general communications with the Bank, making certain appointments and amending terms of any documentation or transaction from time to time

Note: The Bank may require an authorised dealers list for Financial Markets Transactions in certain circumstances. Where this authorised dealers list is required, we will let you know. Otherwise, this authorised dealers list is not required.

- (v) **Appointing Representatives for the Client** – the Approving Persons may also appoint additional persons to be your representative in connection with certain products / services, including:
 - Straight2Bank services – to designate person(s) to: (1) be the “administrator(s)” with full powers to administer and manage, including to set, assign and/or amend functions, approving powers, authorisation limits, product functionalities and other parameters (including data access) for your organisation; and/or (2) perform various other roles with such functions and powers to access and use such services as may be assigned by the “administrator(s)” from time to time. The administrator(s) may also appoint persons described in (2) (i.e. to access and use such services)

- Liquidity Management services – to designate person(s) for the purposes of interest optimisation, netting, aggregation, cash sweeping, cash pooling arrangement or other liquidity management service (whether notional or actual) with the Bank and/or any affiliate of the Bank, with powers to: (1) instruct the Bank to apply balances on the Client's accounts with the Bank; (2) apportion interest calculated between the accounts of the Client and the accounts of other participants; and (3) approve and accept the addition or removal of yourself or any Client Group Member as a participant

Note: Where you appoint an authorised representative to act for and on your behalf (in respect of paragraph (v) above), we will require you to complete and submit a separate Appointment of Representative form or the equivalent to identify the representative and provide particulars, including the representative's scope of powers.

C. PART B – DECLARATION

- (i) **Signatory on this Mandate form** – This Mandate form represents the Bank's standard requirement for a resolution. We will require person(s) who are qualified adopt resolution to execute this form. This should be in accordance with the memorandum and articles of association, partnership agreement, limited liability partnership agreement, rules, charter or constitution of the entity or equivalent, and laws of the jurisdiction of incorporation or establishment of the entity, if any

D. PART C – LIST OF APPROVING PERSONS

- (i) **Approving Persons** – These are persons who are authorised to act on your behalf in accordance with the mandate. Note that the Approving Persons are an "Authorised Person" (as defined under our Standard Terms, General Banking Terms and Conditions or equivalent) or an equivalent person. You will need to provide us the relevant details of the Approving Persons (including their specimen signature, identity information, other related information if required) as part of our set-up of the authorised persons in our systems
- (ii) **Additional Instructions** – You may include additional instructions applicable to Approving Persons under this section. Some examples include:

- Signing instructions:

Any two [2] Approving Persons are required to jointly: (i) [execute the Documents]; (ii) [provide instructions, notices or other directions to the Bank]; (iii) [carry out the purpose and intent of any foregoing resolution for and on behalf of the Client]; (iv) [etc.]

- Accounts:

The Approving Persons listed below shall act as the Representatives of the Client and shall have the authority to handle the following accounts for the Client as the Account Holder defined under the local laws:

Account Number	Currency	Account name	Approving Person(s)

- Limitations to the power and authority of the Approving Persons:

The Approving Persons listed below shall be limited to the following power, authority or limits:

Name:	Power, Authority and \$ limit
[example: John Adams]	[example: operating the bank accounts of any type with the Bank up to USD1 million per transaction]

provided that each Approving Person shall retain the full power and authority to appoint any person, representative or agent to act for and on behalf of the Client in connection with the Straight2Bank services (including full and unrestricted powers to administer, manage and appoint users for the Straight2Bank services)

IMPORTANT NOTE:

1. Limitations – any limitations which you impose on an Approving Person may potentially impact our product functionalities.
2. Straight2Bank set-up – For Straight2Bank, you will need to submit to us a completed and signed Straight2Bank Setup & Maintenance Form. In that form, you will need to appoint users and set, assign and amend the applicable approving powers and authorisation limits; if you subsequently wish to make changes, you will need to submit to us a new Straight2Bank Setup & Maintenance Form (where system functionality permits, these may also be done through your designated administrator(s)). It is your responsibility to ensure that Straight2Bank Setup & Maintenance Forms submitted by you do not conflict with any additional instructions given in this form.

We would strongly suggest that you discuss any proposed limitations with the Bank before including these under the Additional Instructions section

E. PART D – LIST OF AUTHORISED DEALERS

- (i) **Authorised Dealers** - These are persons who are authorised to act singly on your behalf to agree to the Bank's proposals, quotations or rates for Financial Markets Transactions. The Authorised Dealers shall not act as your Approving Persons in accordance with Financial Markets Transactions.
- (ii) **Additional Instructions** – You may include additional instructions applicable to Authorised Dealers under this section. Some examples include:

- Deletion of Authorised Dealer(s):

The following persons are no longer nominated as Authorised Dealers. Please delete them from the Authorised Dealers list

Name
(a) [insert name]

Supplementary forms

- **List of Approving Persons – Schedule form** – please use this form as a continuation sheet for Part C and Part D if required
 - **List of Approving Persons – Amendment form** – please use this form should you need to amend the List of Approving Persons and the List of Authorised Dealers from time to time.
- Note:** List of Authorised Dealers is only used in case where the Bank requests, otherwise, the Client does not need to complete this List.
- **Straight2Bank Setup & Maintenance Form** – please use this form to apply for Straight2Bank services and provide setup instructions, including adding, amending and/or deleting accounts, and service and/or user entitlements on Straight2Bank

Part A – Mandate

To* (Insert the name of the SCB entity providing the products/service) (the “Bank”)	Standard Chartered Bank (Vietnam) Limited
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In the decision of the [Chairman] / [Legal Representative] / At a meeting of the [Members Council] / [Board of Managers] / [General Shareholders Meeting of and in accordance with the constitutional documents of:

(Please complete the following section)

Name of the Company / Institution / Partnership / Enterprise (the “Client”)* (Insert FULL legal name)	
Registration Number (if applicable)	
Date of the meeting and resolutions*	

RESOLUTIONS

The Client has considered and resolved the following:

1. **Approved Transactions:** The Client is authorised to, and shall hereby enter into, the following transactions and services offered by the Bank from time to time on the Bank’s terms and conditions, for which the Client accepts to be in its best interests and for its benefit:
 - (a) The “**Non-Financial Market Transaction(s)**”:
 - (i) **Account(s)** – opening, operating and closing accounts of any type with the Bank, with or without overdraft facilities;
 - (ii) **Cash** – any cash management services with the Bank, including collections, payments and liquidity management services (including any interest optimisation, notional or actual netting, aggregation, cash sweeping or cash pooling arrangement);
 - (iii) **Trade** – any trade related services with the Bank with any value and duration (unless the Client otherwise expressly agrees in writing to the Bank), including letters of credit, guarantees, letters of indemnity, import loans, import invoice financing, receivables purchase, receivables discounting and/or factoring, documentary collections, supply chain financing, pre-shipment financing and warehouse finance;
 - (iv) **Lending** – any credit facilities with the Bank with any value and duration (unless the Client otherwise expressly agrees in writing to the Bank), including general lending products together any security interests to be provided in connection to such facilities if required by the Bank (such as overdrafts and short term loans) to the extent and limitations allowed by the Client’s constitutional documents;
 - (v) **Channels** – any electronic banking (such as Straight2Bank Web) and/or other channel services with the Bank, including self-administration and currency conversion functionalities within such services;
 - (vi) **Client appointed as an authorised representative** – where the Client has been authorised by its affiliates and/or subsidiaries (“**Client Group Member(s)**”), acting in connection with any Transactions for and on behalf of the relevant Client Group Member(s); and
 - (vii) **Ancillary activities** – any ancillary services, activities or transactions deemed necessary by the Bank in connection with any of the above transactions and services, including granting any security or guarantee or other credit support in favour of the Bank and any spot currency conversion; and
 - (b) The “**Financial Markets Transaction(s)**”: any money market, foreign exchange, derivatives, securities dealing, repurchase transactions, transactions for the sale and purchase of commodities, precious metals transactions and other treasury transactions of a type from time to time offered by the Bank.
2. **Powers of Approving Persons:** Each Approving Person designated by the Client in the List of Approving Persons (set out in Part C and as may be amended or supplemented from time to time) is authorised, for and on behalf of the Client, to:
 - (a) in relation to **Non-Financial Market Transactions**:
 - (i) **Execute transaction documents** – complete, execute and deliver any Agreements and/or notes, deeds, forms, instruments, guarantees, security documents, notices, certificates, declarations, letters, confirmations (including supplements, amendments and restatements thereto), settlement/payment netting agreements and any other documents the “**Document(s)**”) required by the Bank in connection with the Transaction(s) and on such terms and conditions as such Approving Person may deem necessary or desirable in connection with the Transaction(s);
 - (ii) **Notices and instructions** – provide binding instructions, notices or other directions in connection with the Transaction(s) and take any other action which such Approving Person may deem necessary or desirable in connection with the Transaction(s);
 - (iii) **Form of acceptance / communications** – use any means of communications acceptable to the Bank to enter into, accept the terms of or provide instructions, notices or other directions in connection with the Transaction(s) (including oral, writing, electronic or any other forms of communications through available systems, media or channels);
 - (iv) **Amending terms** – agree, amend, supplement, restate or vary the terms of any Document(s) or Transaction(s);
 - (v) **Amending the List of Approving Persons**:
 - (Any of) the Legal Representative(s) (including his/ her duly appointed successors) registered under Group A of the List of Approving Persons is authorised to amend Group A.
 - Any of the registered persons under Group A is authorised to amend Group B;
 - Changes, update to Group B must be acknowledged by the Chief Accountant of the Client
 - (vi) **Client delegating to a representative** – (i) appoint any person, representative to act for and on behalf of the Client in connection with the Transaction(s), including delegating to such person, representative full powers to administer, manage

and appoint users for Straight2Bank services and/or liquidity management services, and (ii) revoke any such appointment; and

(vii) **General** – do any other acts or things to carry out the purposes and intent of the resolutions set out herein; and

(b) In relation to **Financial Markets Transactions**:

(i) **Execute transaction documents** – complete, execute and deliver any Agreement and/or Document(s) required by the Bank in connection with the Financial Markets Transaction(s) and on such terms and conditions as such Approving Person may deem necessary or desirable in connection with the Financial Markets Transaction(s);

(ii) **Written notices and instructions** – provide in writing, binding instructions, requests, elections, acknowledgments or other directions in connection with the Financial Markets Transaction(s) and take any other action which such Approving Person may deem necessary or desirable in connection with the Financial Markets Transaction(s);

(iii) **Form of acceptance / communications** – use any means of written (including electronic) forms of communications acceptable to the Bank to enter into, accept the terms of or provide instructions, notices or other directions in connection with the Financial Markets Transaction(s) (including written communications through available systems, media or channels);

(iv) **Amending terms** – agree, amend, supplement, restate or vary in writing the terms of any Document(s) or Financial Markets Transaction(s);

(v) **Amending the List of Approving Persons and List of Authorised Dealers**

➢ (Any of) the Legal Representative(s) (including his/ her duly appointed successors) registered under Group A of the List of Approving Persons is authorised to amend Group A.

➢ Any Approving Persons under Group A is authorised to amend Group B and the Authorised Persons under Part D;

➢ Any amendments to Group B must be acknowledged by the Chief Accountant of the Client.

(vi) **General** – do any other acts or things to carry out the purposes and intent of the resolutions set out herein.

3. **Common Seal:** the Common Seal of the Client be affixed on any Document(s) in such form as is appropriate or required in accordance with its constitutional documents or any equal documents.

4. **Ratification:** any action taken by any person on behalf of the Client in connection with the Transaction(s), Financial Markets Transaction(s) or Document(s) prior to the passing of the aforesaid resolutions be ratified, confirmed and approved in all respects.

Part B – Declaration

We hereby affirm that the resolutions in Part A are true resolutions duly adopted in accordance with the Client's constitutional documents by the [Legal Representative] / [Chairman] / [Member Council] / [Board of Managers] / [General Shareholder Meeting] of the Client. These resolutions will remain in force unless and until the Client delivers to the Bank new resolution(s) revoking, amending and/or superseding these resolutions and the Bank has had a reasonable opportunity to update its records.

Date of this resolution*

Signatory

Full name

Title

Signature – please ensure signature remains within the box

Signatory

Full name

Title

Signature – please ensure signature remains within the box

Signatory

Full name

[Redacted]

Title

[Redacted]

Signature – please ensure signature remains within the box

[Redacted]

Signatory

Full name

[Redacted]

Title

[Redacted]

Signature – please ensure signature remains within the box

[Redacted]

Signatory

Full name

[Redacted]

Title

[Redacted]

Signature – please ensure signature remains within the box

[Redacted]

Signatory

Full name

[Redacted]

Title

[Redacted]

Signature – please ensure signature remains within the box

[Redacted]

Note: Please ensure that person(s) who sign Part B Declaration are, in accordance with the Client's constitutional documents is qualified to approve the Approved Transactions, the Approving Persons and Authorised Dealers. If this Mandate is signed by one person (i.e. the Chairman of a one member limited company managed by a sole Chairman and the Chairman is not the Legal Representative), only one signing block is used. Other blocks are ignored by strikethrough. If this Mandate is to be signed by a Board, please specify correct name as per constitutional documents (i.e. Shareholders Meeting, BOD / BOM or Member Council etc). More signing blocks can be added for the necessary Board members to use.

Statement of the Legal Representative [To be required in case where there is no Legal Representative being the Chairman or any Members of the Board]

[I undersigned hereinafter acting as Legal Representative of the Client confirm that [FULL legal name of the Client] currently has [[insert number] members and (all) the signature(s) of the members approving this Mandate is true and authentic]/ [been managed by the sole Chairman whose signature approving this Mandate is true and authentic] and concurred with all provisions of the Mandate as stated above.

(Sign and Seal)

[Redacted]

Full Name

Title: [Legal Representative]

Part C – List of Approving Persons

Signing method of the Approving Persons is described in the Additional Instructions area below

Information of Approving Persons

IMPORTANT NOTE – As this Mandate governs account activities for the Client, please be informed that:

- 1 Any limitations which you impose on an Approving Person may impact our product functionalities. For Straight2Bank, you will need to submit a completed and signed Straight2Bank Setup & Maintenance Form to us. In that form, you will need to appoint users and set, assign and amend the applicable approving powers and authorisation limits; if you subsequently wish to make changes, you will need to submit to us a new Straight2Bank Setup & Maintenance Form (where system functionality permits, these may also be done through your designated administrator(s)). It is your responsibility to ensure that Straight2Bank Setup & Maintenance Forms submitted by you do not conflict with any additional instructions given in this Mandate.
- 2 The laws require that any account unit must register information of its / Chief Accountant / Person in Charge of Accounting with us, **and that any accounting voucher in relation to account usage and operation must be jointly signed by the Account Holder's Representative (or his/ her delegated person) AND the Chief Accountant (or his/ her delegated person).**
- 3 **A person cannot be registered as an Approving Person of the Account Holder's representative and Chief Accountant / Person in Charge of Accounting at the same time.**
- 4 The Account's Holder Representative is the Client's Legal Representative or authorised person (under a power of authorisation or equivalent documentation).
- 5 Any delegation / authorisation / designation of an Approving Person related to or mentioned in this Mandate, unless waived by the Bank, is to be provided by the Client to the Bank.
- 6 For the avoidance of doubt, the request for co-signatures by the Account Holder's Representative (or his/her authorised person) AND the Person in -charge of Accounting / Chief Accountant (or his / her authorised person) referred to in paragraph 2 above only applies to the use of account(s).

In the other words, Chief Accountant /the Person in -charge of Accounting's authorised person(s) is only needed to be involved in the use of the account. For the transactions performed via account, related instruction must be co-signed by one person in Group A and one person in Group B, unless otherwise directed by the Client in the Additional Instructions below.
- 7 For non-account related transactions, the default signing method is any of the registered signatory under Group A to complete, sign, deliver and amend transactions specified in Part A of this Mandate, unless otherwise expressly provided by the Client in the Additional Instructions below.

Group A

Approving Person(s) must include the Account Holder's Representative and his/her authorised persons (if any)

Full name

Title

DOB

Nationality

ID / Passport

or Visa/TR Card (applied for foreigner)

Date of issue

Place of issue

Contact Details

Permanent registered address

Current registered address

Email

Signature – please ensure signature remains within the box

Full name

Title

DOB

Nationality

ID / Passport

or Visa/TR Card (applied for foreigner)

Date of issue

Place of issue

Contact Details

Permanent registered address

Current registered address

Email

Signature – please ensure signature remains within the box

Full name

Title

DOB

Nationality

ID / Passport

or Visa/TR Card (applied for foreigner)

Date of issue

Place of issue

Contact Details

Permanent registered address

Current registered address

Email

Signature – please ensure signature remains within the box

Full name

Title

DOB

Nationality

ID / Passport

or Visa/TR Card (applied for foreigner)

Date of issue

Place of issue

Contact Details

Permanent registered address

Current registered address

Email

Signature – please ensure signature remains within the box

Full name

Title

DOB

Nationality

ID / Passport

or Visa/TR Card (applied for foreigner)

Date of issue

Place of issue

Contact Details

Permanent registered address

Current registered address

Email

Signature – please ensure signature remains within the box

Full name

Title

DOB

Nationality

ID / Passport

or Visa/TR Card (applied for foreigner)

Date of issue

Place of issue

Contact Details

Permanent registered address

Current registered address

Email

Signature – please ensure signature remains within the box

Group B

Chief Accountant / Person in charge of Accounting and/ or His/ Her authorised person(s)

Note: Draw a line through any unused space. Group B can be omitted if appointment of the Chief Accountant / Person in Charge of Account function is not required by law

Full name

[Redacted]

Title

[Redacted]

DOB

[Redacted]

Nationality

[Redacted]

ID / Passport

[Redacted]

or Visa / TR Card (applied for foreigner)

[Redacted]

Date of issue

[Redacted]

Place of issue

[Redacted]

Contact Details

[Redacted]

Permanent registered address

[Redacted]

Current registered address

[Redacted]

Email

[Redacted]

Signature – please ensure signature remains within the box

[Redacted]

Full name

Title

DOB

Nationality

ID / Passport

or Visa/TR Card (applied for foreigner)

Date of issue

Place of issue

Contact Details

Permanent registered address

Current registered address

Email

Signature – please ensure signature remains within the box

Full name

Title

DOB

Nationality

ID / Passport

or Visa/TR Card (applied for foreigner)

Date of issue

Place of issue

Contact Details

Permanent registered address

Current registered address

Email

Signature – please ensure signature remains within the box

Full name

Title

DOB

Nationality

ID / Passport

or Visa/TR Card (applied for foreigner)

Date of issue

Place of issue

Contact Details

Permanent registered address

Current registered address

Email

Signature – please ensure signature remains within the box

Full name

Title

DOB

Nationality

ID / Passport

or Visa/TR Card (applied for foreigner)

Date of issue

Place of issue

Contact Details

Permanent registered address

Current registered address

Email

Signature – please ensure signature remains within the box

I, the under-signed, the Company's chief accountant / person in charge of accounting function, hereby authorises each person appointed in this Group B to sign onto any accounting vouchers in operation of Company's account(s) jointly with the account holder's representative or any one in Group A. It is further confirmed that each person appointed above accepts acting as an Authorised Person of the chief accountant / person in charge of accounting function.

Chief Accountant (person in charge of accounting function)

Full Name

(Sign)

PART D – List of Authorised Dealers in relation to Financial Markets Transactions

Note: These are persons who are authorised to act singly on your behalf to agree to the Bank's proposals, quotations or rates for Financial Markets Transactions. The Authorised Dealers shall not act as your Approving Persons in accordance with Financial Markets Transactions

Full name

Title

Contact details

Signature – please ensure signature remains within the box

Full name

Title

Contact details

Signature – please ensure signature remains within the box

Full name

Title

Contact details

Signature – please ensure signature remains within the box

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Full name

Title

Contact details

Signature – please ensure signature remains within the box

Full name

Title

Contact details

Signature – please ensure signature remains within the box

Additional Instructions

These Instructions is valid until otherwise expressly instructed in writing by the Client to the Bank

(a) For Non-Financial Market Transactions:

Details about any additional instructions applicable to the Approving Persons or ~~strikethrough~~ if there is no other additional instructions:

(b) For Financial Markets Transactions,

- The Financial Markets Transactions shall be performed by:
 - Any Authorised Dealer under Part D of this Mandate, who are authorised, on behalf of the Client, to request for *the Bank's* proposals, quotations or rates, to discuss and to agree to such proposals, quotations or rates in any means of communications acceptable to the Bank, at the first step; and.
 - Any Approving Person under Part C of this Mandate are authorised to complete, execute, sign and deliver Documents such as Confirmations and other transactional Documents in connection with each Financial Markets Transaction, which are agreed between the Authorised Dealer(s) and the Bank.
- If this Mandate is not signed by the highest managerial authority as per the *Client's constitution*, it is not applied to FM transactions unless accepted by the Bank at its own discretion.
- Other additional instructions (~~Please strikethrough~~ if there is no other additional instructions):