

特定金錢信託投資國內外有價證券推介同意書/推介終止通知書（網路版）

☐ 同意接受貴行推介

本人/本公司同意，貴行得就國內外有價證券特定投資標的（以下稱「特定投資標的」），依本人/本公司之資產配置狀況及投資風險屬性，以當面洽談、電話或電子郵件聯繫、寄發商品說明書之方式，主動提供適合之特定投資標的相關訊息予本人/本公司參考並進行推介。本人/本公司另確認及同意如下事項：

一、本人已同時符合以下推介條件(自然人適用):

1. 本人教育程度為高中（含）以上；且
2. 本人未領有全民健康保險重大傷病證明。

二、本人承諾如嗣後發生不符前述任一推介條件之情事，應立即通知貴行；若貴行發現本人有不符前述任一推介條件之情事，毋須另行通知，得隨時取消本人受貴行推介特定投資標的之資格。如本人為未成年人，本推介同意書應於本人成年之日即行終止。

三、本人/本公司同意本推介同意書為開戶總約定書之一部分；如開戶總約定書、本人/本公司依開戶總約定書所簽署之信託資金交易指示書或其他文件與本推介同意書內容發生歧異，以本推介同意書為特約條款優先適用。

四、本人/本公司僅得透過臨櫃、網路銀行或行動銀行隨時終止本推介同意書。透過臨櫃辦理終止推介者，應於書面指示送達貴行後生效；透過網路銀行或行動銀行辦理終止推介者，自本人/本公司按貴行網頁指示完成終止流程時起生效。

五、本人/本公司確認並同意係基於個人/公司資產規畫，審閱相關資料並充分瞭解各該特定投資標的之條件及所涉風險後，始委託貴行進行信託商品交易，日後如因前述交易致生任何風險或損失，概由本人/本公司自行承擔，與貴行之推介無涉。

☐ 終止接受貴行推介

本人/本公司茲此終止貴行得就國內外有價證券特定投資標的以當面洽談、電話或電子郵件聯繫、寄發商品說明書之方式向本人/本公司進行推介。

注意事項：

1. 本同意書/通知書若以電子文件為表示方式者，本行依「電子簽章法」及「金融機構辦理電子銀行業務安全控管作業基準」所訂之安全規範來識別客戶身份，作為進行線上金融業務服務之依據，其效力與書面文件相同，無須另行簽名或蓋章。
2. 本同意書/通知書同步公告於本行官方網站之法定公開揭露事項之定型化契約揭露專區內，隨時供客戶下載或列印使用。
3. 本同意書/通知書以中、英文作成，中文版本與英文版本如有歧異，應以中文版本為準。

Letter of Consent for Trust Product Recommendation/ Notification Letter for Termination of Trust Product Recommendation (Web Version)

☐ **Acceptance of the Bank's Trust Product Recommendation**

The Undersigned agrees that the Bank is entitled to take the initiative to recommend domestic and foreign securities (the "Specific Investment Product(s)") to the Undersigned by providing proper information relevant to Specific Investment Product(s) as reference based on the Undersigned's assets allocation and risk profile through face-to-face communication, phone calls, emails or mailing product brochures. The Undersigned confirms and undertakes that the Undersigned simultaneously fulfill the following two conditions (the "Recommendation Conditions", only applicable to individuals):

1. the Undersigned's educational level is senior high school or above; and
2. the Undersigned does not have a National Health Insurance Critical Illness/ Injury Certificate.

The Undersigned covenants that the Undersigned shall inform the Bank of the occurrence of failure to fulfill any of the Recommendation Conditions immediately. If the Bank finds out the Undersigned's failure to fulfill any of the Recommendation Conditions, the Bank is entitled from time to time to cancel the Undersigned's qualification to accept recommendation of Specific Investment Product(s) without providing prior notice. If the Undersigned is a minor, this Consent Letter shall be automatically terminated upon the Undersigned becoming an adult.

The Undersigned agrees that this Consent Letter of Consent constitutes a part of the "Standard Terms and Conditions for Account Opening". Should there be any discrepancies among "Standard Terms and Conditions for Account Opening", "Trust Investment Instruction", any other documents signed by the Undersigned according to the "Standard Terms and Conditions for Account Opening" and this Consent Letter, the terms and conditions set out of this Consent Letter shall prevail.

The Undersigned is entitled from time to time to terminate this Consent Letter only through the counter services, online banking, or mobile banking. If the Undersigned terminates this Consent Letter through the counter services, it will take effect upon the "Notification Letter for Termination of Trust Product Recommendation" (the "Termination Letter") being delivered to the Bank. If the Undersigned terminates the Consent Letter through online banking or mobile banking, it will take place once the Undersigned completes the termination process per instructions of the Bank's website.

The Undersigned confirms and agrees that upon reviewing relevant information and fully understanding terms and conditions of the specific investment product(s) and the investment risks involved, the Undersigned instructs the Bank to execute the trust products transactions. In the event that any risks or losses incurred from the said transactions, the Undersigned shall assume full responsibility therefor and the Bank shall not be held liable for the trust product recommendation.

☐ **Termination of the Bank's Trust Product Recommendation**

The Undersigned hereby terminates this "Letter of Consent for Trust Product Recommendation", and the Bank is not allowed to take the initiative to recommend domestic and foreign securities to the Undersigned through face-to-face communication, phone calls, emails or mailing product brochures.

Notice for "Letter of Consent for Trust Product Recommendation"/ "Notification Letter for Termination of Trust Product Recommendation"

1. If this "Letter of Consent for Trust Product Recommendation"/ "Notification Letter for Termination of Trust Product Recommendation" is in the form of an electronic document, it has the same effect as document in writing and no signature or

seal is required. The Bank shall identify client in accordance with “Electronic Signature Act” and “Regulations Governing Electronic Banking Business Provided by Financial Institution” as the basis of providing on-line financial services.

2. This “Letter of Consent for Trust Product Recommendation” / “Notification Letter for Termination of Trust Product Recommendation” will from time to time be announced and updated on the Bank’s official website for upload and print-out.
3. This “Letter of Consent for Trust Product Recommendation” / “Notification Letter for Termination of Trust Product Recommendation” is written in both Chinese and English language. In the event of any discrepancies between the said two versions, the Chinese version shall prevail.