Client Details

CIF Number	
Account Name	
Booking Center	SINGAPORE BOOKING CENTRE ONLY

MAS – Significant Derivatives Holders

Applicable to

- Singapore Booking Centre Only
- All client account types (ie. Individual/Joint accounts, Private Investment Companies, Corporates)

1. Is the Account referred to in the client details section above a Significant Derivatives Holder (SDH)?
   ☐ Yes, please provide GLEI _____
   ☐ No

An SDH is a person who meets all the following conditions:

a) They are not licensed by MAS (i.e., does not meet paragraphs (a) to (g) of the definition of "specified person" in section 124 of the SFA)
b) they are a resident in Singapore; and
c) their aggregate gross notional amount of specified derivatives contracts which are booked or traded in Singapore for the year exceeds SGD 8 billion*.

* The aggregate gross notional amount is calculated by summing the gross notional amount of relevant derivatives contracts for four calendar quarters. The gross notional amount for each quarter is to be assessed on the last day of that quarter.

How this may impact you: If the Account is an SDH, the Account is required to commence the reporting of (i) all reportable OTC derivatives contracts that it enters into from the date on which it becomes an SDH and (ii) some existing reportable OTC derivatives contracts which it has entered into prior to becoming an SDH. You agree to use the Unique Transaction Identifier (UTI) generated by the Bank and shared via Long Form confirmation during transaction submission to DTCC. If the Account is not an SDH as of the date of this Questionnaire, you agree to notify MAS through Form 1A and the Bank immediately if you are aware the Account has become an SDH and provide the Global Legal Entity Identifier (GLEI).

Authorised Signatory 1

Name	
Date	
Signature	

Authorised Signatory 2

Name	
Date	
Signature	

Authorised Signatory 3

Name	
Date	
Signature	

Authorised Signatory 4

Name	
Date	
Signature	

For Bank's Use

Private Banker's Name and Signature	Date