

# Tariff of Accounts and Services for Corporate Clients

Standard Chartered Bank (China) Limited

## NOTE

- This tariff applies to all services provided by Standard Chartered Bank (China) Limited (the "Bank") to its corporate clients. "Corporate Clients" refer to any company, corporate, institution, individual business and other non natural person clients.
- Where a tariff is related to a service charge that can be addressed per the market rate, the Bank might negotiate with its clients on case-by-case basis within the rate range based on the corresponding market environment, funding costs, management costs and transaction complexity of the specific service. The Bank might charge a rate which is lower than the rate range depending on the particularities of the individual client.
- The Bank has the right to update and modify charges listed in this tariff from time to time based on its discretion and/or in compliance with relevant laws or regulations or regulatory requirements. The modified and updated tariff will be noticed to the clients through the way of public announcement (i.e. putting up notification in the Bank's business sites and/or releasing notification on the Bank's website). Where the clients continue to hold and operate their accounts and/or use bank services from the effective date of tariff modification and update as indicated in the Bank's public announcement, the clients shall be deemed as having agreed with the modified and updated tariff.
- This tariff excludes any tax or fees charged by any government authority. Any postage, Telex/SWIFT charge or any charge by any third party institution (including correspondent bank charge) shall be borne by the client account that incurs the respective charge.
- Bank reserves the right to collect the charge(s) in this tariff in CNY or FCY. If the Bank charges are paid in a currency other than the denominated currency, conversion shall be conducted and calculated by the exchange rate published by the Bank.
- "Same City" category in this tariff denotes certain Administrative Division no smaller than prefecture-level city, and includes the same municipalities directly under the Central Government, Capital Cities, and cities specifically designated in state plan.
- Where there is any inconsistency between Chinese version and English version, the Chinese version shall prevail.
- If you have any suggestion or complaints towards our products/services, please call 800 999 0213 or send us a mail at: [Straight2bank.cn@sc.com](mailto:Straight2bank.cn@sc.com).
- This Tariff takes effect from 15 May 2018.

# Government Regulated Tariff

## RMB Account Services

Z

### Standard

Rate Min Max

## Z1 Cross Bank Outward Remittance

Z1.1 Via HVPS (incl. Same City and Cross City)

Handling Fee

Transaction Amount	<= CNY10,000	CNY5 per transaction
	<= CNY100,000	CNY10 per transaction
	<= CNY500,000	CNY15 per transaction
	<= CNY1,000,000	CNY20 per transaction
	> CNY1,000,000	0.002% of transaction amount CNY200 per transaction

Note: Handling fee of the fund transfer for payroll, retirement and old aged pension is CNY2 per transaction. Handling fee of the fund transfer for financial treasury, donation fund and consolation fund is free.

Z1.2 Via BEPS or Local Clearing System (incl. same city and cross city)

Cross Bank and Same City

Via BEPS or Local Clearing System CNY1.2 per transaction

Cross Bank and Cross City

Via BEPS CNY1.2 per transaction

Note: Handling fee of the fund transfer for payroll, retirement and old aged pension is CNY2 per transaction. Handling fee of the fund transfer for financial treasury, donation fund and consolation fund is free.

## Z2 Cheque

Z2.1 Handling Fee

CNY1 per transaction

Z2.2 Report Loss Fee

0.1% of face value CNY5 per transaction

Z2.3 Cost of Production

CNY0.4 per copy

Note: When client returns the unused cheque, the Bank should refund the handling fee per the number of used cheques returned.

## Z3 Cashier Order / Draft

Z3.1 Handling Fee

Free

Z3.2 Report Loss Fee

Free

Z3.3 Cost of Production

Free

Note: BAD (CNY0.48/copy) and CAD (CNY 12/booklet) applies above cost of production, but without handling fee.

Ruled by: Notice of NDRC and CBRC on the printing and issuance of the Government-regulated pricing index of commercial bank service. No268[2014]

# Market Regulated Tariff

## RMB Account Services

R

### Standard

Rate Min Max

### R1 Inward Remittance

R1.1	Domestic RMB inward remittance Via HVPS, BEPS and Credit Note (including via Alliance Bank)			
	Handling Fee	Free		
R1.2	Direct Debit Instruction (BEPS / Alliance Bank)			
R1.2.1	Via BEPS	CNY2 per transaction		
R1.2.2	Through Our Alliance Bank	As per agreement		
R1.3	Remittance from Abroad			
	Handling Fee	Free		

### R2 Outward Remittance

R2.1	Book Transfer (including same city and cross city)			
	Handling Fee	Free		
R2.2	Regular Payment (e.g. Payroll Service)			
	Handling Fee	CNY2 per transaction		
R2.3	Stop Payment/Amendment/Cancellation Instruction			
	Handling Fee	CNY10 per transaction		
R2.4	RMB Cross-border Outward Remittance			
	Handling Fee	Same as F2.1 Outward Remittance Charge		
R2.5	Onshore Fund Transfer of RMB NRAs (Outward Remittance from RMB NRA to Onshore RMB A/C / Outward Remittance from RMB A/C to RMB NRA)			
	Handling Fee	Same as 2.4 RMB Cross-border Outward Remittance charge		

Note: The charges for cross border RMB Outward Remittance via SWIFT do not contain any charges that may be imposed by overseas correspondent banks. As such, your beneficiary may not receive the full amount of the remittance.

### R3 Draft/Cheque Service

R3.1	Draft/Cheque Collection			
R3.1.1	Intra City Cheque (via Local Clearing)	Free		
R3.1.2	Cross City Cheque (via Check Image Exchange System)	CNY10 per transaction		
R3.1.3	Commercial Acceptance Draft /Bank Acceptance Draft	CNY20 per transaction (Include postage)		
R3.2	Stop/Cancellation of Draft/Cheque Payment			
	Handling Fee	CNY10		
R3.3	Draft Warehousing Service			
	Handling Fee	CNY250 per transaction		
R3.4	Draft Query Service (Applicable to selected clients only)			
	Handling Fee	As per agreement.		

### R4 Payment Voucher

R4.1	CNY Remittance Application Form			
	Cost of Production	CNY15 per booklet		
R4.2	Credit Note			
	Handling Fee (including Cost of Production)	CNY30.5 per booklet		

Note: Different format of certificates may be applied to different cities. Please check with your related branch.

R4.3	Other Vouchers			
	Cost of Production	The pricing is local regulated. Please check with your related branch		

R	RMB Account Services		
	Rate	Standard Min	Max
R5	<b>Cash Withdrawal</b>		
	RMB (From CNY Basic Account) Handling Fee	Free	
R6	<b>Other Services</b>		
R6.1	<u>Bank Reference Letter (Account Relationship, Balance Confirmation)</u>		
	Handling Fee	CNY150 per request	
R6.2	<u>Account Confirmation Letter</u>		
	Handling Fee	CNY150 per request	
R6.3	<u>Lending Card Annual Report</u>		
	Handling Fee	CNY150 per request	
R6.4	<u>Statement / Advice / Voucher / Cleared Cheque - Request for Copy / Re-issue</u>		
R6.4.1	By Mail		
R6.4.1.1	Less Than 2 Months	Free	
R6.4.1.2	More Than 2 Months	CNY50 per copy	CNY1,000
R6.4.1.3	More Than 12 Months	CNY100 per copy	CNY1,000
	Express charges will be applied if express delivery service is adopted.		
R6.4.2	By Email / Fax		
R6.4.2.1	Less Than 12 Months	Free	
R6.4.2.2	12 Months Ago	CNY100 per copy	CNY1,000
R6.4.3	Urgent - Same Day Delivery		
R6.4.3.1	Courier	Plus CNY50 per request	
R6.4.3.2	Email / Fax	Plus CNY30 per request	
R6.5	<u>Dormant Account</u>		
	Definition: Inactive over <u>One Year</u>		
	Maintenance Fee	CNY400 per account per year (subject to the account balance)	
R6.6	<u>Minimum Balance</u>		
	Required Monthly Average Balance: <u>CNY40,000</u>		
	Maintenance Fee	Free	
R6.7	<u>RMB Cross-border business Agency Fee</u>		
R6.7.1	RMB Cross Border Business Agency Fee	0.5% of the lending amount for handling RMB cross border lending application.	
R6.8	<u>Business Registration Enquiry via Bank Network</u>		
R6.8.1	Enquiree is Onshore Client	Free	
R6.8.2	Enquiree is Offshore Client Registered in Hong Kong	CNY250 or equivalent	
R6.8.3	Enquiree is Offshore Client Registered Overseas	CNY1,500 or equivalent	

## FCY Account Services

### Standard

Rate                      Min                      Max

#### F1 Inward Remittance

##### F1.1 Inward Payment via SWIFT / local FCY clearing system

F1.1.1	Inward Payment	USD5 or equivalent per transaction plus USD10 or equivalent per transaction		
F1.1.2	Favouring the other SCB branch in China			
F1.1.3	Favouring a bank other than SCB China	Standard Outward Remittance Fee via SWIFT applied		

Note: 1. If payer indicate that all charges borne by payer, SCB China will seek charge from payer bank with the amount of USD 25 or equivalent, instead of charging our client under F1.1.1    2. Relevant domestic correspondent bank charge may apply and we will charge our client the actual occurred amount or equivalent

#### F2 Outward Remittance

##### F2.1 Via Cross Border Clearing

Handling Fee (Electronic)	0.1% of transaction amount plus telex fee CNY140 or equivalent	CNY200 or equivalent	CNY850 or equivalent
Handling Fee (Paper or Fax)	0.2% of transaction amount plus telex fee CNY140 or equivalent	CNY200 or equivalent	CNY850 or equivalent

Note: The charges for Outward Remittance via SWIFT do not contain any charges that may be imposed by overseas correspondent banks. As such, your beneficiary may not receive the full amount of the remittance. If the remitter enjoys preferential price and selects 'BEN' for outward remittance, handling fee and telex fee will be deducted from the amount remitted and, the beneficiary will bear the standard overseas correspondent bank charge, instead of preferential fee charge.

##### F2.2 Overseas Bank Charge (Charge on Applicant)

F2.2.1	Transaction Currency: USD/SGD	USD28 or equivalent per transaction		
F2.2.2	Transaction Currency: HKD/EUR/GBP/AUD/CHF/DKK/NZD	USD24 or equivalent per transaction		
F2.2.3	Transaction Currency: SEK	USD46 or equivalent per transaction		

Note: The fee only applies where overseas bank charge is borne by applicant.

##### F2.3 Local Clearing (Please check with your related branch for the availability of the service)

Handling Fee	Same as F2,1 Outward Remittance Charge		
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##### F2.4 Via Local Foreign Currency RTGS System (Available in Shenzhen Only)

Same City:			
Transaction Amount <= CNY10,000 or equivalent	CNY5 or equivalent		
<= CNY50,000 or equivalent	CNY10 or equivalent		
<= CNY100,000 or equivalent	CNY15 or equivalent		
<= CNY1,000,000 or equivalent	CNY20 or equivalent		
> CNY1,000,000 or equivalent	0.002% of transaction amount	CNY100 or equivalent	
From Shenzhen to Hong Kong	0.1% of transaction amount	CNY 20 or equivalent	CNY1,000 or equivalent

##### F2.5 Via Book Transfer (including same city and cross city)

Handling Fee	Free		
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##### F2.6 Stop Payment / Amendment / Cancellation / Enquiry and Refund

Handling Fee	CNY150 or equivalent per transaction		
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##### F2.7 Urgent Payment Service

Handling Fee	CNY35 or equivalent per transaction		
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Note: Please check with your related branch for the capability of the service.

##### F2.8 Payment Confirmation (via Fax)

Handling Fee	CNY20 or equivalent per transaction		
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#### F3 Draft/Check Collection/Payment

##### F3.1 Check/Draft Collection

F3.1.1	Handling Fee	0.125% of transaction amount plus Postage	CNY200 or equivalent	CNY800 or equivalent
F3.1.2	Returned Cheque / Draft	CNY150 or equivalent per transaction		
F3.1.3	Collection via PBOC's Shen-Kong Paper Clearing System	0.1% of the transaction amount	CNY20 or equivalent	CNY1,000 or equivalent

F	FCY Account Services		Standard	
		Rate	Min	Max
F3.2	Check/Draft Payment			
F3.2.1	Handling Fee	0.125% of transaction amount plus Telex Fee CNY140 or equivalent	CNY200 or equivalent	CNY800 or equivalent
F3.2.2	Report Loss	CNY140 or equivalent per transaction		
F3.2.3	Returned Cheque	CNY30 or equivalent per transaction		
F4	<b>Cash Withdraw</b>			
	Handling Fee	0.4% of transaction amount	CNY40 or equivalent	
F5	<b>Other Services</b>			
F5.1	Issuance of FCY Cheque book			
F5.1.1	Cost of Production	CNY25 or equivalent per booklet		
F5.2	Bank Reference Letter (incl. A/C Relationship, Balance and Status)			
	Handling Fee	CNY150 or equivalent per request		
F5.3	Account Confirmation Letter			
	Handling Fee	CNY150 or equivalent per request		
F5.4	Statement / Advice / Voucher / Cleared Cheque - Request for Copy / Re-issue			
F5.4.1	By Mail			
F5.4.1.1	Less Than 2 Months	Free		
F5.4.1.2	More Than 2 Months	CNY50 or equivalent per copy		CNY1,000 or equivalent
F5.4.1.3	More Than 12 Months	CNY100 or equivalent per copy		CNY1,000 or equivalent
	Express charges will be applied if customer requests for express delivery service.			
F5.4.2	By Email / Fax			
F5.4.2.1	Less Than 12 Months	Free		
F5.4.2.2	12 Months Ago	CNY100 or equivalent per copy		CNY1,000 or equivalent
F5.4.3	Urgent - Same day delivery			
F5.4.3.1	Courier	CNY50 or equivalent per request		
F5.4.3.2	Email / Fax	CNY30 or equivalent per request		
F5.5	Dormant Account			
	Definition: Inactive Over One Year			
	Maintenance Fee	CNY400 or equivalent per account per year (subject to the account balance)		
F5.6	Minimum Balance			
	Required Monthly Average Balance: equivalent to CNY40,000			
	Maintenance Fee	Free		
F5.7	Overseas Lending			
	Handling Fee	0.5% of the overseas lending amount per transaction		
F5.8	Foreign Currency Account Maintenance Fee			
	Euro	EUR20 per account per month (Exempt for accounts with average balance lower than EUR 1,000)		
	Swiss Francs	CHF20 per account per month (Exempt for accounts with average balance lower than CHF 1,000)		
F5.9	TWIST-Account Fee Summary			
F5.9.1	Setup Fee (One-time)	USD 200 or equivalent per market		
F5.9.2	Maintenance Fee	USD 50 or equivalent per month per market		
F6	<b>Telex/SWIFT</b>			
	Worldwide	CNY140 or equivalent per transaction		
F7	<b>Postage ( each 0.5 kg )</b>			
F7.1	Inland China	CNY35 or equivalent per transaction		
F7.2	HK and Macau	CNY105 or equivalent per transaction		
F7.3	Japan and South Korea	CNY140 or equivalent per transaction		
F7.4	South East Asia and Australia	CNY175 or equivalent per transaction		
F7.5	West Europe, U.S.A. and Canada	CNY210 or equivalent per transaction		
F7.6	South Asia, Central and South America	CNY245 or equivalent per transaction		
F7.7	Middle East, Africa, and Eastern Europe	CNY350 or equivalent per transaction		

# Trade Services

## Standard

Rate

Min

Max

T1	Import (includes Domestic and Cross-Border.Domestic LC tariff is charged in CNY only)			
T1.1	Documentary Credits			
T1.1.1	Issuance	0.15% of L/C issuance amount per every 3 months or part thereof	USD40 or equivalent	
T1.1.2	Amendment - Increased Amount	0.15% of increased L/C amount	USD40 or equivalent	
T1.1.3	Amendment - Extension of Validity	0.15% of L/C issuance amount per every 3 months or part thereof	USD40 or equivalent	
T1.1.4	Amendment - Others	USD35 or equivalent per request		
T1.1.5	Issuance of Back to Back L/C	0.125% of Back to Back L/C amount per month	USD100 or equivalent	
T1.1.6	Import LC Cancellation	USD50 or equivalent per LC		
T1.1.7	Document Return / Rejection	USD40 or equivalent per request		
T1.2	Import Bills under Documentary Credits			
T1.2.1	Handling Fee	0.125% of import bills amount	USD30 or equivalent	
T1.2.2	Discrepancy Fee	USD75 or equivalent per request		
T1.2.3	Commission In Lieu of Exchange (collection and payment currency is same as documentary currency)	0.125% of import bills amount	USD10 or equivalent	
T1.2.4	Reimbursement charge for each import bills	USD65 or equivalent per request		
T1.2.5	Acceptance Commission/Deferred Payment Undertaking per month or part of a month	0.1% of import bills amount per month or part thereof	USD40 or equivalent	
T1.2.6	Overdue Bills (Bills remains unpaid more than one month)	USD35 or equivalent per month or part thereof per bill (counting from the 31st day from the bill due date or submitting date)		
T1.3	Shipping Guarantee			
T1.3.1	Shipping Guarantee Issuance	USD40 or equivalent per guarantee		
T1.3.2	Shipping Guarantee Amendment	USD15 or equivalent per guarantee		
T1.3.3	Irredeemable Guarantees Handling	0.125% of invoice value of the goods per every 3 months or part thereof	USD40 or equivalent	
T1.4	Import Bills for Collection			
T1.4.1	Handling Fee	0.125% of import bills amount	USD30 or equivalent	
T1.4.2	Commission in lieu of exchange (collection and payment currency is same as documentary currency)	0.125% of import bills amount	USD10 or equivalent	
T1.4.3	Overdue Bills (Bills remains unpaid more than one month)	USD35 or equivalent per month or part thereof		
T1.4.4	Validation	Validation fee charge will be based on customer risk assumed within the range	0.125% of the avalised import bills amount per month	8% of the avalised import bills amount per month
T1.5	Import Bills Financing			
	Handling Fee	case by case basis	0.3% of the bill financing amount	
T1.6	Vendor Prepay			
	Service Charge	0.3% of financed amount (from seller)		



# Trade Services

T

## Standard

Rate

Min

Max

### T2 Export (includes Domestic and Cross-Border. Domestic LC tariff is charged in CNY only)

T2		Rate	Min	Max
T2.1	L/C Advising/Amendment			
T2.1.1	Pre - Advising	USD15 or equivalent		
T2.1.2	Advising L/C			
T2.1.2.1	Same City Customer (Beneficiary's location is the same city of advising branch)	USD30 or equivalent per L/C		
T2.1.2.2	Cross City Customer (Beneficiary's location is not in same city of advising branch)	USD40 or equivalent per L/C		
T2.1.3	Amendment - Others	USD20 or equivalent per amendment		
T2.1.4	Cancellation - L/C Advising	USD25 or equivalent per L/C		
T2.2	L/C Confirmation			
T2.2.1	Confirmation -Sight L/C	Approved % of the LC amount. The charge is calculated from effective date of the confirmation to LC expiry date + 10 days (collect at the time of confirmation)  *per every 3 months or part of thereof  **Subject to the type of risk undertaken dependent upon issuing bank's country and issuing bank		8% of confirming amount
T2.2.2	Confirmation - Usance L/C	Approved % of the LC confirmation amount. The charge is calculated from effective date of the confirmation to maturity date (including usance tenor plus grace days defined by the bank and to be collected at the time of confirmation)  *quoted on every 3 months basis per each single calculation period and when the related calculation period is less than 3 months, fee will be charged as of 3 months  **Pricing is subject to risk undertaken upon issuing bank's country and issuing bank;		8% of confirming amount
T2.2.3	Cancellation - L/C Confirmation	USD25 or equivalent per request		
T2.3	L/C Transfer			
T2.3.1	No Alteration to Terms and Conditions of LC	USD40 or equivalent per L/C		
T2.3.2	With Alteration to Terms and Conditions of LC	0.15% of transferring amount	USD40 or equivalent	
T2.3.3	Amendment - Increase L/C Amount or Extend Validity	For amount increase: 0.15% of increased L/C amount; For validity extension: 0.15% of full L/C amount	USD40 or equivalent	
T2.3.4	Amendment - Others	USD40 or equivalent		
T2.4	Export Bills under L/C			
T2.4.1	Handling Fee			
T2.4.1.1	Beneficiary	0.125% of negotiated export bill amount	USD30 or equivalent	
T2.4.1.2	Applicant	0.25%of negotiated export bill amount	USD50 or equivalent	
T2.4.2	Commission In Lieu of Exchange (collection and payment currency is same as documentary currency)	0.125% of negotiated export bill amount	USD10 or equivalent	

## Trade Services

T		Standard		
		Rate	Min	Max
T2.4.3	Overdue bills - Unpaid sight bills 4 months from date of dispatch - Unpaid accepted bills 3 months from due date	USD105 or equivalent per every 3 months or part thereof		
T2.4.4	Pre-Check - Beneficiary	USD25 or equivalent per transaction (applicable for selective customer who has a continued pre-checking arrangement for over three months)		
T2.5	Export Bills for Collection			
T2.5.1	Handling Fee			
T2.5.1.1	- Beneficiary	0.125% of export bill collection amount	USD30 or equivalent	
T2.5.1.2	- Applicant	0.25% of export bill collection amount	USD50 or equivalent	
T2.5.2	Commission In Lieu of Exchange (collection and payment currency is same as documentary currency)	0.125% of export bill collection amount	USD10 or equivalent	
T2.5.3	Overdue bills - Unpaid sight bills 4 months from date of dispatch - Unpaid accepted bills 3 months from due date	USD105 or equivalent per every 3 months or part thereof		
T3	<b>Guarantees/Bonds/Surety Bonds/Standby Credits</b>			
T3.1	Guarantees/Performance/Surety Bonds Issuance/Standby Credits			
T3.1.1	Issuance	0.125% - 0.3% dependent upon the type of risk undertaken per month or part thereof  If it is non-standard guarantee, additional USD50 or equivalent is charged	USD60 or equivalent	
T3.1.2	Handling Fee	USD30 or equivalent per transaction		
T3.1.3	Amendment			
T3.1.3.1	Increased Amount	0.125% - 0.3% of increased amount dependent upon the type of risk undertaken, per month or part thereof		
T3.1.3.2	Extension of Validity	0.125% - 0.3% of issued amount dependent upon the type of risk undertaken, per month or part thereof		
T3.1.3.3	Others (not Involved Amount and Validity)	USD35 or equivalent per amendment		
T3.2	Intra Group Guarantee  (Guarantee issued against counter-guarantee of another Standard Chartered entity) (a) Issuance (b) Amendment (c) Claim/Payment	USD100 or equivalent per transaction		
T3.3	Bank Guarantee/SBLC issuance Pre-vetting	USD 50 or equivalent /per, refundable to client upon BG issuance		
T3.4	Bank Guarantee/SBLC Authentication and Safekeeping	Authentication USD20 or equivalent/per; Safekeeping USD15 or equivalent/per		

T	Trade Services			
		Rate	Standard Min	Max
T4	<b>Open Account Trade</b>			
T4.1	Draft			
T4.1.1	Banker Acceptance Draft (BAD)	0.05% of the draft amount		
T4.1.2	BAD Issuance Risk Exposure Fee( Net Exposure = Full BAD Amount - Cash Pledge Amount)	As per agreement	Min 1% of BAD issuance for each BAD based on approved BCA	Max 4% of BAD issuance for each BAD based on approved BCA
T4.1.3	Commercial Draft Discounting Handling Commission	0.2% of the draft amount		
T4.2	Factoring			
T4.2.1	Debtor Credit Limit Checking Fee	USD130 or equivalent per debtor		
T4.2.2	Invoice Handling Fee (Non-Insurance Covered Transaction)	0.3% of invoice value		
T4.2.3	Invoice Handling Fee (Insurance Covered Transaction)	Subject to the risk of buyer's country and the insurance premium rate for the country	0.5% of invoice value	6% of invoice value
T4.3	Invoice Financing			
	Handling Fee	0.3% of invoice value		
T5	<b>Bank Payment Obligation (BPO)</b>			
T5.1	Baseline Creation (with or without BPO) - Buyer			
T5.1.1	BPO Issuance	0.158% of BPO issuance amount	USD40 or equivalent	
T5.1.2	BPO Tenor Extension	0.158% of BPO issuance amount	USD40 or equivalent	
T5.1.3	BPO Value Increase	0.158% of BPO increased amount	USD40 or equivalent	
T5.1.4	Unexpired BPO Cancellation Fee	USD50 or equivalent		
T5.1.5	Buyer Bank Services	USD30 or equivalent per baseline establishment		
T5.1.6	Outstanding Purchase Order	USD10 or equivalent charged every month end		
T5.2	Baseline Creation (with or without BPO) - Seller			
T5.2.1	Obligor Bank Risk Facility		0% of confirming amount	8% of confirming amount
T5.2.2	Seller Bank Services	USD50 or equivalent per baseline establishment		
T5.2.3	Outstanding Purchase Order	USD10 or equivalent charged every month end		
T5.3	BPO Dataset Handling - Buyer			
T5.3.1	BPO Usance Commission	0.1% of BPO amount		
T5.4	BPO Dataset Handling - Seller			
T5.4.1	Dataset Handling Fee	USD50 or equivalent per dataset		
T5.4.2	Commission In Lieu of Exchange (collection and payment currency is same as documentary currency)	0.125% of BPO amount	USD10 or equivalent	
T5.5	BPO Collection - Buyer			
T5.5.1	Collection Handling Fee	0.125% of BPO amount	USD30 or equivalent	
T5.6	BPO Collection - Seller			
T5.6.1	Collection Handling Fee	0.125% of BPO amount	USD30 or equivalent	
T5.6.2	Commission In Lieu of Exchange (collection and payment currency is same as documentary currency)	0.125% of BPO amount	USD10 or equivalent	

T	Trade Services	Standard		
		Rate	Min	Max

T6	Other Services			
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T6.1	Re-Issue Debit/Credit Advice			
	Handling Fee	USD12 or equivalent per request		
T6.2	Debit/Credit Advice Copy Request			
T6.2.1	Less Than 2 months	USD3 or equivalent per request		
T6.2.2	2 Months Ago	USD6 or equivalent per request		
T6.2.3	Same day (T+0) Delivery	Plus postage fee USD25 or equivalent		
T6.2.4	Next day (T+1) Delivery	Plus postage fee USD12 or equivalent		
T6.3	Cross Border RMB Remittance under L/C/Collection/Guarantee			
	Handling Fee	0.125% of transaction amount	CNY300	CNY850

T7	Telex / Swift			
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T7.1	Imports (includes Domestic and Cross-Border)			
T7.1.1	Documentary Credits - Issuance	USD50 or equivalent and additional charges applied if the wording is unduly long	USD50 or equivalent	
T7.1.2	Documentary Credits - Amendment	USD25 or equivalent per amendment		
T7.1.3	Cancellation of L/C	USD25 or equivalent per request		
T7.1.4	Bank Payment Obligation Charges - Buyer	USD10 or equivalent per message		
T7.2	Guarantees/Standby Credits			
T7.2.1	Guarantee/Standby Credit - Issuance	USD50 or equivalent and additional charges applied if the wording is unduly long	USD50 or equivalent	
T7.2.2	Guarantee/Standby Credit - Amendment	USD25 or equivalent per amendment		
T7.3	Exports (includes domestic and cross-border)			
T7.3.1	Cancellation - L/C Advising	USD25 or equivalent		
T7.3.2	Cancellation - L/C Confirmation	USD25 or equivalent		
T7.3.3	Remittance by TT (MT103/202)	USD20 or equivalent		
T7.3.4	Correspondent Bank Charges	USD30 or equivalent		
T7.3.5	Bank Payment Obligation Charges - Seller	USD10 or equivalent per message		

T8	Other Telex/Swift Charges			
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	Cross Border (China, Hong Kong / Macau) and Domestic	USD20 or equivalent per request		
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T9	Postage (Every 0.5 kg )			
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T9.1	Inland China	CNY35		
T9.2	HK and Macau	CNY105		
T9.3	Japan and South Korea	CNY140		
T9.4	S.E. Asia and S. Pacific	CNY175		
T9.5	W. Europe, U.S.A. and Canada	CNY210		
T9.6	S. Asia, Central and South America	CNY245		
T9.7	Middle East, Africa, and Eastern Europe	CNY350		

**Note:**

All documentary credits are handled in accordance with Uniform Customers and Practice for Documentary Credits (2007 Revision), ICC Publication No.600 and any subsequent approved amendments. All Collections are handled in accordance with Uniform Rules for Collections ICC Publication in 1995 and any subsequent approved amendments.

All reimbursements are handled in accordance with Uniform Rules for Reimbursements under documentary credits, ICC Publication and any subsequent approved amendments. Unless instructed, unpaid certificate is not required for bills/ drafts refused to receive or accept.

L	Liquidity Services		Standard	
		Rate	Min	Max
L1	<b>Bilateral Entrustment Loan</b>			
	Commission	loan amount *0.35%* no. ofdays / 360.Principal pay the commission.	CNY15,000 or equivalent	
L2	<b>Liquidity Management (Cash Sweeping and Interest Optimization)</b>			
L2.1	Domestic Cash Sweeping and Interest Optimization			
L2.1.1	Implementation Fee	CNY20,000 or equivalent per structure		
L2.1.2	Maintenance Fee	CNY3,000 or equivalent per structure per month		
L2.1.3	Commission	Daily commission is 0.3% of accumulated outstanding entrustment loan amount/360. Daily accrued, monthly or annually settled.	CNY1,000 or equivalent per account	
L2.2	Cross-Border Cash Sweeping and Interest Optimization			
L2.2.1	Implementation Fee	CNY20,000 or equivalent per structure		
L2.2.2	Maintenance Fee	CNY3,000 or equivalent per structure per month		
L2.2.3	Commission (CNY or FCY two-way sweeping)	Daily commission is 0.3% of accumulated outstanding intercompany loan amount/360. Daily accrued, monthly or annually settled.	CNY5,000 or equivalent per account	
L3	<b>Master / Sub Account Services</b>			
	This is to facilitate the cash management of account receivables / payable via the mapping of sub account to master account			
L3.1	Set Up Fee (One Off)	CNY1,000 or equivalent per set up		
L3.2	Maintenance Fee	CNY300 or equivalent per set up per month		
L4	<b>Cross Border Centralized Operation</b>			
L4.1	Handing fee of centralized payment / collection & netting		CNY 50 or equivalent per original transaction	
L5	<b>Virtual Account Service</b>			
L5.1	Account Set Up Fee (One Off)	CNY1,000 or equivalent per agreement		
L5.2	Maintenance Fee	CNY1,000 or equivalent per agreement per month		
L6	<b>Other Services</b>			
L6.1	Direct Debit Instruction Service via Third Parties			
L6.1.1	Implementation Fee (One Off)	CNY10,000		
L6.1.2	Transaction Fee	CNY5 per transaction		
L6.2	Direct Debit Instruction Service via SCB (apply to SCB account only)			
L6.2.1	Implementation Fee (One Off)	CNY 4,000		
L6.2.2	Transaction Fee	CNY 2 per transaction		
L6.3	Straight2Bank Receivable Management			
L6.3.1	System & Reconciliation Rule Setup Fee (One-Off)	CNY 30,000 per account		
L6.3.2	Maintenance Fee	CNY 1,200 per account		
L6.3.3	Receipt Matching Fee	CNY 0.3 per successful receipt matched		
L6.3.4	Invoice Historical Records Storage Fee	CNY 0.06 per invoice or collection record		

## Channel Services

C

### Standard

Rate                      Min                      Max

## C1 3rd Party Bank Account Services

Perform enquiry and operate on client's account in 3<sup>rd</sup> party bank upon client's instruction.

### C1.1 Implementation Fee (One-Off)

C1.1.1 Setup Partner Bank as the 3rd Party Bank                      CNY15,000

C1.1.2 Setup Non Partner Bank as the 3rd Party Bank                      CNY30,000

### C1.2 Transaction Handling Fee

C1.2.1 3rd Party Bank Collection                      CNY10 per transaction

C1.2.2 3rd Party Bank Payment                      CNY10 per transaction

### C1.3 Maintenance

Maintenance Fee                      CNY2,000 per account per month

Note: The above 3rd Party Bank Account Service fees exclude fees charged by 3rd Party Bank. 3rd Party Bank fees per client's request will be borne by the client.

## C2 SWIFT Services

### C2.1 SWIFT Reporting Service

C2.1.1 SWIFT MT940 / MT950 Report                      CNY800 per account per month  
Send daily account detail report as per client's request

C2.1.2 SWIFT MT900 / MT910 Report                      CNY800 per account per month  
Send intraday credit/debit report as per client's request

C2.1.3 SWIFT MT942 Report                      CNY2,500 per account per month  
Send intraday account detail report as per client's request

### C2.2 MT101 Service (client specific payment order)

C2.2.1 Setup Fee (One-off)                      CNY1,000

C2.2.2 Monthly Maintenance Fee                      CNY600

C2.2.3 Receiving of MT101 (SCB act as an executing bank)                      Same as Outward Remittance

C2.2.4 Delivery of MT101 (SCB act as a forwarding bank)                      CNY140 per transaction

C2.2.5 Amendment / Cancellation of MT101                      CNY140 per transaction

## C3 Straight2Bank

Vasco Token                      CNY120 per piece

## C4 Straight2Bank | Web

To access bank services via internet and internet browser

C4.1 Implementation Fee (One-Off)                      Free

C4.2 Maintenance Fee                      CNY300 per month

C4.3 ECDS USB Key                      CNY100 per piece

C4.4 ECDS Digital Signature Service Charge  
(Per year Per USB Key)                      CNY200

Note: The digital signature service charge will be debited directly from your account with the bank.

## C5 Straight2Bank | Access

To transmit data via leased line or internet with specific signing and encryption process. Client's ERP system is directly integrated with the bank, allowing the submission of transaction instructions and the receipt of response and report.

C5.1 Implementation Fee (One-Off)                      CNY50,000  
- Covers project management cost to be incurred from system integration with client's system for Straight2Bank Access, including but not limited to system integration, testing, system setup, etc.

C5.2 Maintenance Fee                      CNY4,500 per month

C5.3 Onsite System Recovery                      CNY300 per request

Note: Implementation fee (One-Off) and maintenance fee only applicable for Straight2Bank Access implementation with NEW Group ID setup in China. Expenses on cross-city on-site support per client's request will be borne by the client. The one-off implementation fee does not include license fee and system development cost. The license fee will be subject to vendor's pricing and the development cost will be agreed with client prior to implementation.

C	<b>Channel Services</b>		
		<b>Standard</b>	
		Rate	Min Max

C6	<b>Super Connect Mobile Payment Collection Service</b>		
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To collect the funds from individual customers' QR code payment or mobile payment to client's bank account with Standard Chartered Bank via the payment gateway or acquiring terminals provided by Standard Chartered Bank's payment channel service providers.

C6.1	Handling Fee	0.6% of the transaction amount	
C6.2	Implementation Fee (One-Off) - Covers project management cost to be incurred from system integration with client's system for Super Connect Mobile Payment Collection Service, including but not limited to system integration, testing, system setup, etc.	CNY50,000	
C6.3	Maintenance Fee	CNY4,500 per month	

Note: Implementation fee (One-Off) and maintenance fee are only applicable for WeChat QR Code Payment Collection Service related APIs (e.g. payment/refund status, refund, transaction report, etc.) setup in China. Expense on cross-city onsite support per client's request will be borne by the client. The one-off implementation fee does not include license fee and system development cost. The license fee will be subject to vendor's pricing and the development cost will be agreed with client prior to implementation.

C7	<b>Other Services - (Applicable to Straight2Bank Client only)</b>		
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C7.1	Beneficiary Payment Advice (via Email)	CNY1 per request	CNY150
C7.2	Info Manager Email Reporting Service		
	Service Fee	CNY100 per month	
C7.3	OMS Email Reporting Service		
C7.3.1	Credit Advice (Inward Remittance via SWIFT only)	CNY100 per month	
C7.3.2	Daily Statement	CNY100 per month	

B	<b>B-Share Cash Clearing Services</b>		
		<b>Standard</b>	

B1	<b>Inward Remittance</b>	<b>Clearing Customer</b>	<b>Non Clearing Customer</b>
	Cheque Deposit via SCB HK	HKD130 per transaction	HKD180 per transaction

B2	<b>Outward Remittance</b>	<b>Clearing Customer</b>	<b>Non Clearing Customer</b>
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B2.1	Telegraphic Transfer	0.10% Min: HKD100 or equivalent Max: HKD200 or equivalent	0.10% Min: HKD100 or equivalent Max: HKD400 or equivalent
B2.2	Via Local Foreign Currency RTGS system (Available in Shenzhen Only) Same City:		
	Amount <= CNY10,000	Fee rate: CNY5 or equivalent	
	<= CNY50,000	CNY10 or equivalent	
	<= CNY100,000	CNY15 or equivalent	
	<= CNY1,000,000	CNY20 or equivalent	
	>CNY1,000,000	0.002% of payment amount	CNY100 or equivalent

B3	<b>Clearing Services</b>		
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Services Charge (Follow the Tariff of CSDCC Shenzhen) Under negotiation

## Securities Services

A

### Standard

Tariff

Min

Max

### A1 Settlement and Clearing Services

A1.1	Qualified Foreign Institutional Investor / RMB Qualified Foreign Institutional Investor (Cover Settlement & Clearing of Equities, Bonds & Funds)	Under negotiation according to the services defined		USD70 or equivalent per transaction
A1.2	Qualified Domestic Institutional Investor(Cover Settlement & Clearing of Equities, Bonds&Funds in overseas markets)	Under negotiation according to the services & markets defined		
A1.3	China B share (Cover Settlement & Clearing of B share Equities)	Under negotiation according to the services defined		USD60 or equivalent per transaction
A1.4	China Interbank Bond Market (Cover Settlement & Clearing for Foreign Investors' investment in China Interbank Bond Market)	Under negotiation according to the services defined		RMB200 or equivalent per transaction
A1.5	Securities Investment Funds	Under negotiation according to the services defined		
A1.6	Asset management products (Cover Asset Management Products from Fund Management Companies and Subsidiaries / Brokers, Insurance Assets, Trust Plans, Bank Wealth Management Products, Private Funds)	Under negotiation according to the services defined		

### A2 Custody Services

A2.1	Qualified Foreign Institutional Investor / RMB Qualified Foreign Institutional Investor (Cover Custody Services of Equities, Bonds, Funds)	Under negotiation according to the services defined		0.3% per annum (base on monthly net asset valuation)
A2.2	Qualified Domestic Institutional Investor(Cover Custody Services of Equities, Bonds, Funds)	Under negotiation according to the services defined		
A2.3	China B Share (Cover Custody Services of Equities, Bonds, Funds)	Under negotiation according to the services defined	USD1000.00	0.4% per annum (base on monthly net asset valuation)
A2.4	China Interbank Bond Market (Cover Asset Services for Foreign Investors' investment in China Interbank Bond Market)	Under negotiation according to the services defined		0.2% per annum (base on monthly net asset value)
A2.5	Securities Investment Funds	Under negotiation according to the services defined		
A2.6	Asset management products (Cover Asset Management Products from Fund Management Companies and Subsidiaries / Brokers, Insurance Assets, Trust Plans, Bank Wealth Management Products, Private Funds)	Under negotiation according to the services defined		

A1.5 / A1.6 / A2.5 / A2.6 will be effective subject to relevant regulatory approval.

### A3 Valuation Service

Service Charge(Cover Services of Asset valuation, Compliance monitoring, Performance measurement & Regulatory reporting)

Under negotiation according to the services defined

0.5% per annum (base on monthly net asset valuation)

### A4 China Interbank Bond Market Settlement Agent

A4.1	Trading Agent Fee	Under negotiation according to the services defined		0.01% on the settlement amount per transaction
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A		Securities Services		
		Tariff	Standard	
A5		Repair Fee / Cancellation Fee		
A5.1	Repair Fee & Cancellation Fee	Under negotiation according to the services defined		USD10 or equivalent per transaction
A6		Proxy Voting Fee		
A6.1	Proxy Voting Fee	Under negotiation according to the services defined		USD50 or equivalent per general voting per account. USD1,500 or equivalent per meeting attendance per account
A7		Service Fee - Bonus Issues / Rights Issues		
A7.1	Qualified Foreign Institutional Investor / RMB Qualified Foreign Institutional Investor	Under negotiation according to the services defined		USD50 or equivalent per transaction
A7.2	China B share	Under negotiation according to the services defined		USD100 or equivalent per transaction
A8		IPO Processing Fee		
A8.1	IPO Processing Fee	Under negotiation according to the services defined		USD200 or equivalent per transaction
A9		Investment Application Fee		
A9.1	Investment Application Fee	Under negotiation according to the services defined		USD5000 or equivalent per transaction
A10		Monthly Administration Fee		
A10.1	Maintaining Internal Sub-account	Under negotiation according to the services defined		USD2000 per sub-account per month or equivalent
A11		Other Services		
A11.1	Customized Services	Under negotiation according to the services defined		

E		Escrow Services		
		Standard		
	Service Charge	Specific services charges will be agreed upon with clients. The charges are calculated based on clients' specific requirements, their business scale and complexity of the documentation.		

## Correspondent Banking Services

FI		Standard		
		Rate	Min	Max
<b>F11</b>	<b>China LC Relay</b>			
F11.1	Document Handling / Payment Commission	USD150 or equivalent		
F11.2	Discrepancy Fee	USD150 or equivalent		
F11.3	Telex Fee	USD60 or equivalent		
F11.4	Delivery Fee	USD60 or equivalent		
F11.5	Reimbursement Charge	USD160 or equivalent		
<b>F12</b>	<b>Cross-border RMB Clearing</b>			
	To provide RMB payment and collection services to the overseas banks who hold vostro A/C with SCB CN			
F12.1	Outward Remittance (debit Nostro A/C)			
F12.1.1	Outward Remittance - via MT103 OUR	CNY40 per transaction		
F12.1.2	Outward Remittance - via MT103 BEN/SHA - Bénédict	CNY80 per transaction (debit from the principal)		
F12.1.3	Interbank - via MT202	CNY30 per transaction		
F12.2	Inward Remittance (credit Nostro A/C)			
F12.2.1	Inward Remittance - via MT103 OUR	CNY40 per transaction		
F12.2.2	Inward Remittance - via MT103 BEN/SHA - Creditdeduct	CNY60 per transaction (debit from the principal)		
F12.2.3	Interbank - via MT202	CNY30 per transaction		
F12.3	Nostro Account Services			
F12.3.1	Account Opening Fee	CNY200 per account		
F12.3.2	Monthly Account Maintenance Fee (charged when monthly average balance below the requirement of minimum balance)	CNY250		
F12.4	Information Report			
F12.4.1	Day End Report			
	SWIFT MT940/MT950	CNY150 per month		
F12.4.2	Intraday Report			
	SWIFT MT900/910 (as per request)	CNY150 per month		
	SWIFT MT942 (as per request)	CNY200 per month		
F12.5	Inquiry Services			
F12.5.1	Cancellation/amendment before payment settlement	CNY200		
F12.5.2	Cancellation/amendment after payment settlement; Refund	CNY100		
F12.5.3	Inquiry (transaction within 3 months)	CNY20		
F12.5.4	Inquiry (transaction over 3 months)	CNY50		
F12.5.5	Inquiry (transaction over 6 months)	CNY100		
F12.5.6	Inquiry (involving 3rd party bank, checking via SWIFT)	CNY100		
<b>F13</b>	<b>Cross-Border RMB L/C Reimbursement</b>			
	Handling Fee	CNY550 per claim		
<b>F14</b>	<b>Other Services</b>			
	As per agreement			

# Market Regulated Tariff

## Non-standard fee tariff for corporate client

Standard

		Rate	Guideline/Note	Client Coverage	Preferential Pricing Policy
<b>N1 General Lending</b>					
N1.1	<b>Commitment fee (for committed facility)</b> The Bank offered the committed loan and prepare adequate funding to practise the commitment of the loan.	Capped at 10% of undrawn amount.	Market practice. The Bank offered the committed loan and prepare adequate funding to practise the commitment of the loan. It is to cover the additional funding cost from prepared funding.	Corporate & Institutional Clients The commercial banks shall not charge small-and-micro sized enterprises with commitment fee or fund management fee whereas the financial advisory fee and/or the advisory fee shall be charged on a prudent and limited basis . The foregoing prohibition is not applicable to commercial bank' s syndication loan business with such enterprises.	None
N1.2	<b>Miscellaneous Fee</b> In return to the Bank's service of consulting and arrangement to onshore client (corporate and/or institution), during the client receiving financing from SCB overseas affiliates. Under such business structure, SCB overseas affiliates acts as the financing party and/or 'Lender'.	In minimum 0.2% and capped at 3.0% of facility amount.	Market practice. The Bank leads and arranges the financing within SCB Group in line with onshore client's specific needs and financing nature. During the period, the Banks help to communicate, coordinate and arrange with counterparties on relevant processes, including foreign debt application and registration, etc.	Corporate & Institutional Clients	None
<b>N2 Syndication loan</b>					
N2.1	<b>Advisory Fee</b> In a syndication loan, due to complicated financing structure as a result of Borrower's industry and project specifics, Borrower will appoint one bank to provide advisory service, including but not limited to liaising with all parties in negotiation and documents execution.	Maximum 6% of Facility Amount	Market practice and customary in international market; The Bank will structure the loan based on the type of client, client's need and analysis of cash flow. Experienced professionals hired by the bank will provide to client services such as coordination, cash flow analysis, loan structuring, etc.  Chapter 6, Self-discipline Pact of Syndication Fee Charge	Corporate Clients	None

# Market Regulated Tariff

## Non-standard fee tariff for corporate client

N

Standard

	Rate	Guideline/Note	Client Coverage	Preferential Pricing Policy	
N2.2	<p><b>Agency fee</b> In a syndication loan, the borrower and the lenders will appoint a bank as agent to represent all lenders (or majority lenders). The main duties of the agent includes: collect initial drawdown documents, calculate interest of the loan, release loan and repay principal, monitor undertakings and covenants, register security on behalf of lenders, and facilitating communication. The agent bank employs experienced professionals, and develops or procures the necessary information systems to perform its role.</p>	<p>Maximum not to exceed RMB700,000 per annum for each of facility agent bank and security agent bank role.</p>	<p>Market practice and customary in international market; Guideline for Syndicated Loans, Article 41, Chapter 6, CBRC; Standard Template in Syndication Theory &amp; Practice, Syndication Committee of China Banking Association; Chapter 4, Self-discipline Pact of Syndication Fee Charge</p>	<p>Corporate Clients</p>	<p>None</p>
N2.3	<p><b>Arrangement fee</b> Before loan syndication commences, the bank will provide loan structure and terms and conditions, syndication strategy, and pricing information to the client. After the client accepts, it will mandate Standard Chartered Bank to lead arrange the syndication (as mandated lead arranger and / or bookrunner). The bank will conduct due diligence, send invitations, host bank meetings to potential participating banks of the loan, coordinate documentation and negotiation, and finally assist post drawdown management. The arrangement fee will be collected based on the final facility amount. After the mandated lead arranger receives the arrangement fee, it will distribute the fee to the participating banks according to the invitation condition and the participating bank's committed amount.</p>	<p>Maximum amount not to exceed 6% of the facility amount.</p>	<p>Market practice and customary in international market, with minimum charge following requirement of China Banking Guild; Guideline for Syndicated Loans, Article 41, Chapter 6, CBRC; Standard Template in Syndication Theory &amp; Practice, Syndication Committee of China Banking Association; Chapter 2, Self-discipline Pact of Syndication Fee Charge</p> <p>As mandated lead arranger, the Bank needs to hire experienced professionals to structure the loan, to arrange the syndication, to coordinate documentation with external legal, etc. The arrangement fee is to compensate time consumed, efforts made, and experience as well as network maintained by the professionals.</p>	<p>Corporate Clients</p>	<p>None</p>

# Non-standard fee tariff for corporate client

Standard

		Rate	Guideline/Note	Client Coverage	Preferential Pricing Policy
N2.4	<p><b>Cancellation Fee</b></p> <p>Syndicated loan is typically a committed loan. Each participating bank needs to set aside capital or borrow from the interbank market in order to make the commitment on the loan. If the borrower breaks the loan agreement and does not draw the loan in full, the bank will suffer a loss for the amount not drawn. Therefore, the borrower should pay the bank a one-time cancellation fee. Cancellation fee and commitment fee will not be charged at the same time.</p>	Maximum not to exceed 2% of the cancelled facility amount	Market practice and customary in international market; will not be charged together with Commitment Fee.	Corporate Clients	None
N2.5	<p><b>Commitment fee (for Syndication committed facility)</b></p> <p>Syndication loan is typically a committed loan. Syndication loan agreements typically contain availability period. Each participating bank needs to set aside capital or borrow from the interbank market in order to make the commitment on the loan. If the borrower does not draw the loan or only draws down a portion of the loan, the bank will suffer a loss for the amount not drawn.</p>	Maximum not to exceed 3% of the undrawn amount	Guideline for Syndicated Loans, Article 41, Chapter 6, CBRC; Chapter 3, Self-discipline Pact of Syndication Fee Charge	Corporate Clients	None
N2.6	<p><b>Participation fee</b></p> <p>Participating lenders will receive a participation fee from the mandated lead arranger. The amount of this fee is negotiated between the mandated lead arranger and the participating lender. Participation fee is typically less than the arrangement fee that the client pays to the mandated lead arranger.</p>	Negotiated with mandated lead arranger and abide by the relevant regulations	Market practice and customary in international market; Chapter 5, Self-discipline Pact of Syndication Fee Charge	Mandated Lead Arranger (which collect fee from Corporate Clients)	None
N2.7	<p><b>Voluntary Prepayment Penalty</b></p> <p>The cost to the bank as a result of early prepayment of the loan by the client.</p>	Maximum not to exceed 3% of the early prepayment amount	Market practice and customary in international market; Fee charge for client's early prepayment; Standard Template in Syndication Theory & Practice, Syndication Committee of China Banking Association; Breakage Cost incurred due to prepayment shall be charged separately on the amount incurred; Chapter 6, Self-discipline Pact of Syndication Fee Charge;	Corporate Customer	None

# Non-standard fee tariff for corporate client

Standard

	Rate	Guideline/Note	Client Coverage	Preferential Pricing Policy
<p>N2.8 <b>Termination fee</b></p> <p>1) After the client signs the mandate letter with the bank to arrange the financing exclusively but before the client signs the financing documents, if the client, due to its own reasons, terminates the mandate or seeks financing with another bank or institution in violation of the mandate;</p> <p>2) After the client confirms the financing plan but subsequently cancels the business need for the financing, a fee shall be charged for the work and financial model that has already been done for the financing plan.</p> <p>Client has not paid any other fee in both situations described above.</p>	Maximum not to exceed 3% of the indicative facility amount	Market practice and customary in international market; Penalty and to compensate loss incurred by the Bank due to termination; Chapter 6, Self-discipline Pact of Syndication Fee Charge	Corporate Customer	None
<p>N2.9 <b>Extension Arrangement Fee</b></p> <p>In a syndicated loan, the maturity date can be extended if the borrower makes such request to extend and Lenders (after risk assessment) agrees to the extension. The mandated lead arranger is usually in charge of coordinating the process and discussing the relevant terms between borrower and all lenders. The extension agreement will be signed after all parties are in agreement.</p>	Maximum not to exceed 5% of the extended facility amount	Market practice and customary in international market; Chapter 6, Self-discipline Pact of Syndication Fee Charge	Corporate Customer	None
<p>N2.10 <b>Waiver Fee</b></p> <p>Lenders agree to a waiver request by the borrower or an obligor of the loan for breaching, amending, or modifying any undertakings or any terms and conditions in the syndicated loan finance documents. The mandated lead arranger is usually in charge of coordinating the process and communication between the borrower or obligor and lenders. Lenders would decide whether they are agreeable to the waiver request after performing independent evaluation and risk assessment. The facility agent shall issue a written notification to confirm approval of waiver after the percentage of lenders as required by the syndicated loan documents have agreed.</p>	Maximum not to exceed 5% of the facility amount	Market practice and customary in international market; Chapter 6, Self-discipline Pact of Syndication Fee Charge	Corporate Customer	None

# Non-standard fee tariff for corporate client

Standard

	Rate	Guideline/Note	Client Coverage	Preferential Pricing Policy	
<b>N3 Structured Loan</b>					
N3.1	<p><b>Advisory fee (M&amp;A is exclusive)</b> To provide advisory service to a client who has capital funding requests. In the process of bilateral structured financing, we will provide advisory service to a client who has capital funding request no matter whether this funding is from Standard Chartered Bank. The main services include: analysis on client's financial status e.g. profitability, balance sheet etc., recent market research about the similar structured product, providing financing solutions, and giving explanation and suggestion on legal, financing needs and financing structure etc. Meanwhile, we also could provide advisory service on regulatory requirements and communications.</p>	<p>Maximum not to exceed 10% of financing amount (including but not limited to the facility amount in the loan agreement and any other financing agreement)</p>	<p>Market Practice and customary in international market. To provide customised financing solutions.</p>	<p>Corporate &amp; Institutional Clients The commercial banks shall not charge small-and-micro sized enterprises with commitment fee or fund management fee whereas the financial advisory fee and/or the advisory fee shall be charged on a prudent and limited basis . The foregoing prohibition is not applicable to commercial bank' s syndication loan business with such enterprises.</p>	None
N3.2	<p><b>Commitment fee (for committed facility)</b> The bank needs to set aside capital or borrow from the interbank market in order to make the commitment on the loan.</p>	<p>Maximum not to exceed 10% of the undrawn amount</p>	<p>Market practice. The bank confirms to provide the loan and set aside the fund in case of drawdown.</p>	<p>Corporate &amp; Institutional Clients The commercial banks shall not charge small-and-micro sized enterprises with commitment fee or fund management fee whereas the financial advisory fee and/or the advisory fee shall be charged on a prudent and limited basis . The foregoing prohibition is not applicable to commercial bank' s syndication loan business with such enterprises.</p>	None

# Non-standard fee tariff for corporate client

Standard

	Rate	Guideline/Note	Client Coverage	Preferential Pricing Policy	
N3.3	<p><b>Structuring Fee</b> Financing Solutions:(structured financing) 1.Design the structure of foreign debt, explore offshore financing channel; 2.Analyse and understand the clients' business structure and industry features. Design the loan according to clients' financing needs (including non-standard solutions, financial modelling and annually examination and revision) 3.Design repayment node in order to match clients' operational needs with operating cash flow 4.Based on clients' features and conditions, considering reasonable guarantee for the clients' financing needs and costs. Revision of financing solutions: (structured financing) After the completion of financing solution and signing the documents, if the client cannot fulfill some contract clauses, or requests to change the structure and clauses, Standard Chartered Bank will revise the original financing solution according to clients' needs in order to meet the clients' requirements. Financing solutions design / amendment: (trade financing) 1.Customise clients' current and future financing needs (1)operating capital (ii)trade financing 2.Providing structured financing solutions, financial model annual revision and improvement during operating period, which includes adjustment of financing solutions, reallocation of credit limit and change of credit amount.</p>	<p>For structured financing: Maximum not to exceed 10% of financing amount (including but not limited to the facility amount in the loan agreement and any other financing agreement) Trade Financing: The recommended overall facility amount: 0-USD 2 Mil (inclusive)or equivalent, maximum not to exceed USD 100K or equivalent: USD 2-5 Mil(inclusive) or equivalent, maximum not to exceed USD 250K or equivalent: USD 5-10 Mil (inclusive)or equivalent, maximum not to exceed USD 400K or equivalent: large than USD 100 Mil. Fees are subject to the contractual agreement between both parties.</p>	<p>Market Practice and customary in international market. The bank analyses the structural cash flow according to the clients' special needs and financing nature, and then design the loan structure. The specialists from the bank provides the service to design the structured financing.</p>	<p>Corporate &amp; Institutional Clients The commercial banks shall not charge small-and-micro sized enterprises with commitment fee or fund management fee whereas the financial advisory fee and/or the advisory fee shall be charged on a prudent and limited basis . The foregoing prohibition is not applicable to commercial bank' s syndication loan business with such enterprises.</p>	None
N3.4	<p><b>Voluntary Prepayment Penalty</b> The cost to the bank as a result of voluntary early prepayment of the loan by the client.</p>	<p>Maximum not to exceed 5% of the early prepayment amount.</p>	<p>Market practice. Penalty for clients voluntary prepayment. Note: Breakage Cost incurred due to repayment shall be charged separately on the actual amount.</p>	<p>Corporate &amp; Institutional Clients The commercial banks shall not charge small-and-micro sized enterprises with commitment fee or fund management fee whereas the financial advisory fee and/or the advisory fee shall be charged on a prudent and limited basis . The foregoing prohibition is not applicable to commercial bank' s syndication loan business with such enterprises.</p>	None



# Non-standard fee tariff for corporate client

Standard

	Rate	Guideline/Note	Client Coverage	Preferential Pricing Policy	
N3.5	<p><b>Waiver Fee</b></p> <p>After the completion of financing solutions, If the client applies for exemption in the event of breach, amendment or modification of the commitments or other provisions of the financing documents. SCB provides services on financing solutions and financial modelling modification, and make an exemption in financing and arrange for the corresponding exemption operations in accordance with customer's actual needs and corresponding risk assessment.</p>	<p>Maximum not to exceed 10% of the facility amount</p>	<p>Market Practice and customary in international market.</p>	<p>Corporate &amp; Institutional Clients The commercial banks shall not charge small-and-micro sized enterprises with commitment fee or fund management fee whereas the financial advisory fee and/or the advisory fee shall be charged on a prudent and limited basis . The foregoing prohibition is not applicable to commercial bank' s syndication loan business with such enterprises.</p>	None
N3.6	<p><b>Agency fee</b></p> <p>In a structured financing, borrower and lender(s) will appoint a bank as agent. The main duties of the agent includes: collect drawdown documents and loan proceeds, register security on behalf of lender(s), collect and distribute information, post-signing administrative matters etc.</p>	<p>Maximum RMB700,000 per annum for each of facility agent bank and security agent bank</p>	<p>Market practice.</p>	<p>Corporate &amp; Institutional Clients The commercial banks shall not charge small-and-micro sized enterprises with commitment fee or fund management fee whereas the financial advisory fee and/or the advisory fee shall be charged on a prudent and limited basis . The foregoing prohibition is not applicable to commercial bank' s syndication loan business with such enterprises.</p>	None

# Non-standard fee tariff for corporate client

Standard

		Rate	Guideline/Note	Client Coverage	Preferential Pricing Policy
N3.7	<p><b>Miscellaneous Fee</b></p> <p>In return to the Bank's service of consulting and arrangement to onshore client (corporate and/or institution), during the client receiving financing from SCB overseas affiliates.</p> <p>Under such business structure, SCB overseas affiliates acts as the financing party and/or 'Lender'.</p>	Minimum 0.2% and capped at 3.0% of facility amount.	<p>Market practice.</p> <p>Arrange and coordinate SCB overseas affiliates to provide offshore structured financing in accordance to clients' specific requirements and financing nature.</p> <p>When customer is in the process of obtaining overseas financing, provide services including but not limited to the following:</p> <p>1. Depending on the customer situation and specific needs, recommend the most suitable arrangements for the Standard Chartered Group's overseas financial institutions;</p> <p>2. Participate in the communication between client and Standard Chartered Group's offshore financial institutions (lenders) to smooth cross-border exchanges and information transfer between the two sides.</p> <p>When customer provides financing application documents on requirement of lenders, provide advisory and coordination services regarding due diligence, site visits, data preparation and credit risk analysis;</p> <p>3. Assist clients in the completion of the appointment of external lawyers in the Chinese law, the application and registration of foreign debt, and contact, coordination and arrangement with the parties concerned, including regulatory agencies and foreign financial institutions.</p>	Corporate & Institutional Clients The commercial banks shall not charge small-and-micro sized enterprises with commitment fee or fund management fee whereas the financial advisory fee and/or the advisory fee shall be charged on a prudent and limited basis. The foregoing prohibition is not applicable to commercial bank's syndication loan business with such enterprises.	None
N4	<p><b>Financial Market Derivative Product and Fixed Incoming Product</b></p>				
N4.1	<p><b>China Inter-bank Bond Market Settlement Agent Service Fee</b></p> <p>As the China Inter-bank Bond Market Settlement Agent, SCB offers bond trading, settlement and asset custody services.</p>	Fees are subject to the contractual agreement between both parties.	<p>PBOC Public Notice No. 3 [2016]</p> <p>11. A settlement agent shall provide services and charge fees in a fair, equitable and open principle. The service fee schedule shall be decided through consultations between the settlement agent and overseas institutional investor on a voluntary basis in a market-oriented principle.</p>	Qualified Investors accessing to CIBMr	None

# Non-standard fee tariff for corporate client

Standard

		Rate	Guideline/Note	Client Coverage	Preferential Pricing Policy
N4.2	<b>Other customised service fee</b> Other customised service fee, subject to the agreed contract.	Subject to the agreed contract.	Other customised service fee, subject to the agreed contract.	Corporate & Institutional Clients	None
<b>N5 ABS products</b>					
N5.1	<b>Securitisation Loan Service Fee</b> As a Loan Servicer designated by Securitisation trustee institution/trustee, the Loan Servicer is responsible for the loan management service to the issued specific Securitisation Transaction. Fees are subject to the contractual agreement between both parties.	Fees are subject to the contractual agreement between both parties.	"Measures for Supervising and Administrating the Pilot Securitization of Credit Assets by Financial Institutions" Article 40 Fees are subject to the contractual agreement between both parties.	All Legally Established Qualifying Trust Investment Companies	None
N5.2	<b>Securitisation Underwriting Fee</b> Underwriting fee is the remuneration that underwriter provides underwriting service for Originator or Issuer regarding the agreed scale of Securitisation transaction. Underwriting fee includes the underwriter's commission fee, other underwriting syndicate members' commission fee, marketing material production fee, printing fee, fee regarding bookbinding and application to regulators and other fee and expense arises from the roadshow. Detailed servicing content are determined by the contract.	Fees are subject to the contractual agreement between both parties.	Market practice	Corporate & Institutional Clients	None
N5.3	<b>Securitisation Financial Advisory Fee</b> As a financial advisor, SCB provides transaction arrangement, structuring and other advisory services for Securitisation Transaction to originators and other related parties. Detailed services are determined by the contract.	Fees are subject to the contractual agreement between both parties.	Market practice	Corporate & Institutional Clients	None
<b>N6 DCM products</b>					
N6.1	<b>Bond Underwriting Fee</b> Underwriting fees are fees earned by underwriters relating to the provision of services for acting as underwriter of an issue of debt, either primary or secondary. For the avoidance of doubt, such fees are exclusive of any out of pocket expenses and costs incurred in connection with the transaction which the client / issuer would agree reimbursing the underwriters.	Fees are subject to the contractual agreement between both parties.	Market practice	Corporate & Institutional Clients	None
N6.2	<b>Financial Advisory Fee</b> Financial advisory fees are fees earned relating to the provision of services for advising on debt capital raising. For the avoidance of doubt, such fees are exclusive of any out of pocket expenses and costs incurred in connection with the transaction which the client / issuer would agree reimbursing the financial advisors.	Fees are subject to the contractual agreement between both parties.	Market practice	Corporate & Institutional Clients	None

# Non-standard fee tariff for corporate client

Standard

Rate

Guideline/Note

Client Coverage

Preferential Pricing Policy

## N7 QDII

	Rate	Guideline/Note	Client Coverage	Preferential Pricing Policy
N7.1 Purchasing Fee	0.25%-5% of the investment notional amount	According to international practice, it's a market-determined rate. The Bank will base on the specific needs of customers and their nature, the scale of the investment and the underlying assets to provide product purchase and subscription services.	Institutional and corporate clients	N/A
N7.2 Maturity Redemption Fee	0%-5% of the investment notional amount	According to international practice, it's a market-determined rate. The fee is paid by the customer upon redemption at maturity for the services provided by the bank to hold the product till maturity.	Institutional and corporate clients	N/A
N7.3 Early Redemption Fee	0%-5% of the investment notional amount	According to international practice, it's a market-determined rate. The fee is paid by the customer upon early redemption. It includes the product-related services provided by the bank and the cost incurred by the bank due to the early redemption.	Institutional and corporate clients	N/A
N7.4 Management Fee	0%-5% of the investment notional amount	According to international practice, it's a market-determined rate charged based on the actual holding period of the product.	Institutional and corporate clients	N/A