

Monthly Return of Equity Issuer on Movements in Securities

For the month ended (dd/mm/yyyy): 31/10/2016

To : Hong Kong Exchanges and Clearing Limited

Name of Issuer Date Submitted Standard Chartered PLC 4 November 2016

I. Movements in Authorised Share Capital

1. Ordinary Shares			
(1) Stock code : <u>N/A</u> Descrip	otion :		
	No. of ordinary shares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of preceding month			
Increase/(decrease)			
Balance at close of the month			
(2) Stock code : <u>N/A</u> Descrip	otion :		
	No. of ordinary shares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of preceding month			
Increase/(decrease)			
Balance at close of the month			

2. Preference Shares

Stock code :	N/A	Description :		
		No. of preference shares	Par value (State currency)	Authorised share capital (State currency)
Balance at close	of preceding	g month		
Increase/(decreas	se)			
Balance at close	of the mont	h		

3. Other Classes of Shares

Stock code : <u>N/A</u> Descrip	otion :		
	No. of other classes of shares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of preceding month			
Increase/(decrease)			
Balance at close of the month			

Total authorised share capital at the end of the month (*State currency*) :

II. Movements in Issued Share Capital

	No. of ordinat	ry shares			No. of other classes
	(1)	(2)	No of preference shares		of shares
Balance at close of preceding month	3,283,763,712	N/A	£1.00 195,285,000	US\$5.00 15,000	N/A
Increase/ (decrease) during the month	81,368		<u>N/A</u>	N/A	
Balance at close of the month	3,283,845,080		195,285,000	15,000	

III. Details of Movements in Issued Share Capital

Share Options (under Share Option Schemes of the Issuer)

Particulars of share option scheme including EGM approval date		Maaaaada daa			No. of new shares of issuer issued during the month pursuant thereto	of issuer which may be issued pursuant thereto as at close o
(dd/mm/yyyy) and class of -		Movement dur			-	the month
shares issuable	Granted	Exercised	Cancelled	Lapsed		
1. 2006 Restricted Share						
Schemes						
Ordinary shares	Nil	17,266	Nil	161	17,266	767,486
(Note 1)						
2. 2001 Performance						
Share Plan						
(/ /) Ordinary shares	Nil	2,859	Nil	Nil	2,859	83,808
(Note 1)	INII	2,839			2,039	03,808
3. 2011 Discretionary Share Plan – Performance						
Share Award						
	NT'1	2 2 4 1	N7'1	10.001	2.261	4 021 221
Ordinary shares (Note 1)	Nil	2,361	Nil	12,221	2,361	4,931,321
4. 2011 Discretionary Share Plan – Restricted						
Share Award						
(/ /)						
Ordinary shares	434,555	58,882	Nil	69,888	58,882	25,006,265
(Note 1)						
5. 2011 Discretionary						
Share Plan – Management						
Long Term Incentive Plan						
Ordinary shares	Nil	Nil	Nil	238,678	Nil	22,939,919
(Note 1)						, ,
6. 2011 Discretionary						
Share Plan – Executive						
Long Term Incentive Plan						
(/ /) Ordinary shares	Nil	Nil	Nil	Nil	Nil	1,585,615
(Note 1)	111					1,303,015
7. 2004 International						
Sharesave Schemes						
(/ /)						
Ordinary shares (Note 1)	Nil	Nil	Nil	3,982	Nil	320,960
8. 2004 UK Sharesave Plan						
Ordinary shares	Nil	Nil	Nil	299	Nil	24,832
(Note 1)						,
9. Standard Chartered						
2013 Sharesave Plan						
(/ /)						
Ordinary shares	3,801,733	Nil	Nil	194,038	Nil	13,558,635
(Note 1)						
			Total A. (Ord			
			(Prefe	rence shares)		
				(Other class)	N/A	
otal funds raised during the me	onth from exer	cise of options (S				
urrency)			Nil			

Description of warrants (Date of expiry - dd/mm/yyyy)	Currency of nominal value	Nominal value at close of preceding month	Exercised during the month	Nominal value at close of the month	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
<u>1. N/A</u>						
(/ /) Stock code (if listed) Class of shares issuable (Note 1) Subscription price EGM approval date (if applicable) (dd/mm/yyyy) 2. N/A	(/ /					
(/ /) Stock code (if listed) Class of shares issuable (<i>Note 1</i>)						
Subscription price EGM approval date (if applicable) (dd/mm/yyyy)	(/ /	·)				
<u>3. N/A</u>						
(/ /) Stock code (if listed) Class of shares issuable (Note 1)						
Subscription price EGM approval date (if applicable) (dd/mm/yyyy) 4. N/A	(/ /	[/])				
4. IV/A						
(/ /) Stock code (if listed) Class of shares issuable (Note 1) Subscription price						
EGM approval date (if applicable) (dd/mm/yyyy)	(/ /	′)				
< · · · · · · · · · · · · · · · · · · ·	, , , , , , , , , , , , , , , , ,	Total	(Prefere	nary shares) <u>N/A</u> ence shares) <u>N/A</u> Other class) <u>N/A</u>		

For Main Board and GEM listed issuers

Convertibles (i.e. Convertible into	Shares of the I	ssuer which are to	b be Listed)			
Class and description	Currency of amount outstanding	Amount at close of preceding month	Converted during the month	Amount at close of the month	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1. US\$2 billion 6.5% Resetting						
Perpetual Subordinated						
Contingent Convertible						
Securities	US\$	US\$2 billion	Nil	US\$2 billion	Nil	184,077,312**
Stock code (if listed)	5890					
Class of shares						
issuable (<i>Note 1</i>) Subscription price	Ordinary US\$10.865*					
EGM approval date (if applicable) (dd/mm/yyyy))	(08/05/2014)					
2. US\$2 billion 7.5% Resetting						
Perpetual Subordinated						
Contingent Convertible						
Securities	US\$	US\$2 billion	Nil	US\$2 billion	Nil	258,665,287
Stock code (if listed)	4305					
Class of shares						
issuable (Note 1)	Ordinary					
Subscription price	US\$7.732*					
EGM approval date						
(if applicable)	(04/05/2016)					
(dd/mm/yyyy) 3. N/A	(04/03/2010)					
<u>3. N/A</u>						
Stock code (if listed)	<u> </u>			·		
Class of shares						
issuable (<i>Note 1</i>)						
Subscription price						
EGM approval date						
(if applicable)						
(dd/mm/yyyy)	(/ /)					
4. N/A						
Stock code (if listed)						
Class of shares						
issuable (<i>Note 1</i>) Subscription price						
EGM approval date		<u>.</u>				
(if applicable)						
(dd/mm/yyyy)	(/ /)					
	. /		Total C. (Ordi	nary shares) <u>Nil</u>		
				ence shares) N/A		
			(Other class) N/A	1	
* Conversion price of the security						

* Conversion price of the security ** Adjusted post rights issue

For Main Board and GEM listed issuers Any other Agreements or Arrangements to Issue Shares of the Issuer which are to be Listed, including Options (other than under Share Option Schemes)

	No. of new shares of issuer issued during the month pursuant	No. of new shares of issuer which may be issued pursuant thereto
Full particulars including EGM approval date (dd/mm/yyyy), if applicable, and class of shares issuable:	thereto	as at close of the month
1. <u>N/A</u>	-	
shares (Note 1)		
2. N/A		
(/ /) shares (Note 1)		
3. N/A		
5. <u>IVA</u>		
(/ /)		
shares (Note 1)		
Total D. (Ordinary shares)		
(Preference shares) (Other class)	N/A N/A	
(Other class)	11/21	

	ner Movements Type of Issue		Share Ca					No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
					Class of shares issuable (<i>Note 1</i>)				
1.	Rights issue	At price :	State currency	N/A	Issue and allotment date : (dd/mm/yyyy)	(/ /)		
					EGM approval date: (dd/mm/yyyy)	(/ /)		
					Class of shares issuable (Note 1)				
2.	Open offer	At price :	State currency	N/A	Issue and allotment date : (dd/mm/yyyy)	(/ /)		
					EGM approval date: (dd/mm/yyyy)	(/ /)		
					Class of shares issuable (Note 1)				
3.	Placing	At price :	State currency	<u>N/A</u>	Issue and allotment date : (dd/mm/yyyy)	(/ /)		
					EGM approval date: (dd/mm/yyyy)	(/ /)		
					Class of shares issuable (Note 1)				
4.	Bonus issue			N/A	Issue and allotment date : (dd/mm/yyyy)	(/ /)		
					EGM approval date: (dd/mm/yyyy)	(/ /)		

	Type of Issue			For Mai		ard and GEM listed is No. of new shares of issuer issued during the month pursuant thereto	
			Class of shares issuable (<i>Note 1</i>)				
5.	Scrip dividend At price : State currency	N/A	Issue and allotment date : (dd/mm/yyyy)	(/ /)		
			AGM approval date: (dd/mm/yyyy)	(/ /)		
			Class of shares				
			repurchased (Note 1)				
6.	Repurchase of shares	N/A	Cancellation date : (dd/mm/yyyy)	(/ /)		
			EGM approval date: (dd/mm/yyyy)	(/ /)		
			Class of shares				
			redeemed (Note 1)				
7.	Redemption of shares	N/A	Redemption date : (dd/mm/yyyy)	(/ /)		
			EGM approval date: (dd/mm/yyyy)	(/ /)		
			Class of shares issuable (Note 1)				
8.	Consideration At price : State currency	N/A	Issue and allotment date : (dd/mm/yyyy)	(/ /)		
			EGM approval date: (dd/mm/yyyy)	(/ /)		

_					For Mai	n Bo	oard and GEM listed is	
							No. of new shares of	
							issuer issued during the month pursuant	shares of issuer
							thereto	which may
								be issued
								pursuant
								thereto as
								at close of
	Type of Issue							the month
				Class of shares issuable				
				(Note 1)				
9.	Capital		N/A	Issue and allotment	(/ /)		
	reorganisation			date : (dd/mm/yyyy)				
				EGM approval date: (dd/mm/yyyy)	(/ /)		
				Class of shares issuable $(N \leftarrow I)$				
10	Other			(Note 1)				
10.	(Please specify)	At price : State currency	<u>N/A</u>	Issue and allotment date : (dd/mm/yyyy)	(/ /)		
				EGM approval date: (dd/mm/yyyy)	(/ /)		
				T-(-1 F (Onding	1		
1					Ordinary s reference s			
1				(1)			$(s) \frac{N/A}{N/A}$	
					(Ould	Ju	<u></u>	
1								

Total increase / (decrease) in ordinary shares during the month (i.e. Total of A to E):	(1)	81,368				
	(2)	N/A				
Total increase / (decrease) in preference shares during the month (i.e. Total of A to E):		N/A				
Total increase / (decrease) in other classes of shares during the month (i.e. Total of A to E):		N/A				
(These figures should be the same as the relevant figures under II above ("Movements in Issued Share Capital").)						

Submitted by: Chan Fung Shan

 Title:
 Assistant Company Secretary

 (Director, Secretary or other duly authorised officer)

Notes :

1. State the class of shares (e.g. ordinary, preference or other).

2. If there is insufficient space, please append the prescribed continuation sheet.