

Monthly Return of Equity Issuer on Movements in Securities

For the month ended (dd/	mm/yyyy) :	30/09/2016		
To: Hong Kong Exchanges	s and Clearin	g Limited		
Name of Issuer	Standar	d Chartered PLC		
Date Submitted	6 Octob	er 2016		
I. Movements in Authorise	d Share Capi	tal		
1. Ordinary Shares				
(1) Stock code: <u>N/A</u>	Descri	ption:		
		No. of ordinary shares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of precedi	ng month			
Increase/(decrease)				
Balance at close of the mor	nth			
(2) Stock code : N/A	Descri	ption :		_
		No. of ordinary shares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of precedi	ng month			
Increase/(decrease)				
Balance at close of the mor	nth			

Stock code: N/A	Description :		
	No. of preference shares	Par value nce (State currency)	Authorised share capital (State currency)
Balance at close of preceding	month		
Increase/(decrease)			
Balance at close of the month			
3. Other Classes of Shares			
3. Other Classes of Shares Stock code: N/A	Description :		
	Description : No. of other classes of share	Par value	
3. Other Classes of Shares Stock code: N/A Balance at close of preceding	No. of other classes of share	Par value	Authorised share capital
Stock code : N/A	No. of other classes of share	Par value	Authorised share capital

currency):

II. Movements in Issued Share Capital

	No. of ordinar	ry shares			No. of other classes
	(1)	(2)	No of pro	eference shares	of shares
Balance at close of preceding month	3,283,439,839	N/A	£1.00 195,285,000	US\$5.00 15,000	N/A
Increase/ (decrease) during the month	323,873		<u>N/A</u>	N/A	
Balance at close of the month	3,283,763,712		195,285,000	15,000	

III. Details of Movements in Issued Share Capital

Share Options (under Share Option Schemes of the Issuer)

Particulars of share option scheme including EGM approval date		Movement dur	ing the month		No. of new shares of issuer issued during the month pursuant thereto	of issuer which may be issued pursuant thereto as at close of
(dd/mm/yyyy) and class of —	G . 1			т 1	_	the month
shares issuable 1. 2006 Restricted Share	Granted	Exercised	Cancelled	Lapsed		
Schemes						
(/ /)						
Ordinary shares (Note 1)	Nil	13,080	Nil	1,338	13,080	784,913
2. 2001 Performance Share Plan						
(/ /)						
Ordinary shares	Nil	1,428	Nil	Nil	1,428	86,667
(Note 1)						
3. 2011 Discretionary						
Share Plan – Performance Share Award						
(/ /)						
Ordinary shares	Nil	1,273	Nil	20,366	1,273	4,945,903
(Note 1)						
4. 2011 Discretionary Share Plan – Restricted						
Share Award						
(/ /)						
Ordinary shares	Nil	307,934	Nil	108,797	307,934	24,700,480
(Note 1)						
5. 2011 Discretionary						
Share Plan – Management						
Long Term Incentive Plan						
Ordinary shares	Nil	Nil	Nil	233,994	Nil	23,178,597
(Note 1)						
6. 2011 Discretionary						
Share Plan – Executive						
Long Term Incentive Plan						
Ordinary shares	Nil	Nil	Nil	Nil	Nil	1,585,615
(Note 1)						
7. 2004 International						
Sharesave Schemes						
Ordinary shares	Nil	Nil	Nil	2,269	Nil	324,942
(Note 1)						
8. 2004 UK Sharesave Plan						
(/ /)						
Ordinary shares (Note 1)	Nil	Nil	Nil	599	Nil	25,131
9. Standard Chartered 2013 Sharesave Plan						
(/ /)						
Ordinary shares	Nil	158	Nil	213,773	158	9,950,940
(Note 1)						
			Total A. (Ord	linary shares)	323,873	
			(Prefe	rence shares)	N/A	
				(Other class)	N/A	
Total funds raised during the mo	onth from exer	cise of options (S				
currency)			£881.26			

Description of warrants (Date of expiry - dd/mm/yyyy)	Currency of Nominal value at nominal close of value preceding month	Exercised during the month	Nominal value at close of the month	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1. N/A					
Stock code (if listed) Class of shares issuable (Note 1) Subscription price					
EGM approval date (if applicable)					
(dd/mm/yyyy) 2. N/A	(/ /)				
2. IVA					
(/ /) Stock code (if listed) Class of shares issuable (Note 1)					
Subscription price EGM approval date (if applicable) (dd/mm/yyyy) 3. N/A	(/ /)				
(/ /) Stock code (if listed) Class of shares issuable (Note 1)					
Subscription price EGM approval date					
(if applicable) (dd/mm/yyyy)	(/ /)				
4. N/A	, , , , , , , , , , , , , , , , , , , ,				
(/ /)			. <u></u>		
Stock code (if listed) Class of shares issuable (Note 1)					
Subscription price EGM approval date (if applicable)					
(dd/mm/yyyy)	(/ /)				
	Total	(Prefere	nary shares) N/A ence shares) N/A Other class) N/A	\	- -
		(Other class) N/A	Δ	<u>-</u>

Convertibles (i.e. Convertible into Shares of the Issuer which are to be Listed)

Convertibles (i.e. Convertible into	Shares of the 1	ssuer which are to	be Listed)			
Class and description	Currency of amount outstanding	Amount at close of preceding month	Converted during the month	Amount at close of the month	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1. US\$2 billion 6.5% Resetting						
Perpetual Subordinated						
Contingent Convertible						
Securities	US\$	US\$2 billion	Nil	US\$2 billion	Nil	184,077,312**
Stock code (if listed)	5890					
Class of shares						
issuable (Note 1)	Ordinary					
Subscription price	US\$10.865*					
EGM approval date		<u></u>				
(if applicable)						
(dd/mm/yyyy))	(08/05/2014)					
2. US\$2 billion 7.5% Resetting						
Perpetual Subordinated						
Contingent Convertible						
Securities	US\$	US\$2 billion	Nil	US\$2 billion	Nil	258,665,287
Stock code (if listed)	4305					
Class of shares						
issuable (Note 1)	Ordinary					
Subscription price	US\$7.732*					
EGM approval date (if applicable)						
(dd/mm/yyyy)	(04/05/2016)					
3. N/A	(04/03/2010)					
3. IVA						
Stock code (if listed)						-
Class of shares						
issuable (Note 1)						
Subscription price						
EGM approval date						
(if applicable)						
(dd/mm/yyyy)	(/ /)					
4. N/A						
Stock code (if listed)						
Class of shares						
issuable (Note 1)						
Subscription price						
EGM approval date						
(if applicable) (dd/mm/yyyy)	(/ /)					
(dd/11111/yyyy)	(/ /)		Total C (Ordi	nary shares) Nil		
				ence shares) N/A	<u> </u>	-
				Other class) N/A		-
			`	· /		-

^{*} Conversion price of the security
** Adjusted post rights issue

For Main Board and GEM listed issuers
Any other Agreements or Arrangements to Issue Shares of the Issuer which are to be Listed, including Options (other than under Share Option Schemes)

Full particulars including EGM approval date (dd/mm/yyyy), if applicable, and class of shares issuable:	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1. <u>N/A</u>		
(/ /)	•	
shares (Note 1)		
2 NI/A		
2. <u>N/A</u>	-	
(/ /)		
shares (Note 1)		
3. N/A		
	-	
(/ /) shares (Note 1)	-	
Shares (Note 1)		
Total D. (Ordinary shares)	N/A	
(Preference shares)	N/A	
(Other class)	N/A	

Class of shares issuable (Note 1) 1. Rights issue At price: State currency N/A Issue and allotment date: (dd/mm/yyyy) (/ /) EGM approval date: (dd/mm/yyyy) (/ /) Class of shares issuable (// /) Class of shares issuable (// /) Class of shares issuable (// /) EGM approval date: (dd/mm/yyyy) EGM approval date: (dd/mm/yyyy) EGM approval date: (/ / /) Class of shares issuable (/ / /)		Type of Issue	s in Issued Share Cap	ntai				No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1. Rights issue At price : State currency N/A Issue and allotment date : (dd/mm/yyyy) (/ /)		Type of Issue			Class of shares issuable				
Class of shares issuable (Note 1) Class of shares issuable (Note 1)							_		
Class of shares issuable (Note I)	1.	Rights issue	At price : State currency	N/A		(/ /)		
2. Open offer At price: State currency N/A					EGM approval date: (dd/mm/yyyy)	(/ /)		
2. Open offer At price: State currency State currency									
2. Open offer At price: currency date: (dd/mm/yyyy) EGM approval date: (dd/mm/yyyy) Class of shares issuable (Note 1) State currency N/A Issue and allotment (//) EGM approval date: (dd/mm/yyyy) EGM approval date: (//) Class of shares issuable (//) Class of shares issuable (//) EGM approval date: (//) Class of shares issuable (//) EGM approval date: (//) EGM approval date: (//)							_		
Class of shares issuable	2.	Open offer	At price : State currency	N/A		(/ /)		
(Note 1) 3. Placing At price : State currency N/A Issue and allotment date : (dd/mm/yyyy)					EGM approval date: (dd/mm/yyyy)	(/ /)		
(Note 1) 3. Placing At price : State currency N/A Issue and allotment date : (dd/mm/yyyy)									
Class of shares issuable									
Class of shares issuable	3.	Placing	At price : State currency	N/A		(/ /)		
4. Bonus issue N/A Issue and allotment (/ /) date: (dd/mm/yyyy) EGM approval date: (/ /)						(/ /)		
4. Bonus issue N/A Issue and allotment (/ /) date: (dd/mm/yyyy) EGM approval date: (/ /)									
4. Bonus issue TVA date: (dd/mm/yyyy) EGM approval date:							_		
Bow approvar auto:	4.	Bonus issue		N/A		(/ /)		
					EGM approval date: (dd/mm/yyyy)	(/ /)		

For Main Board and GEM listed issuers

				For Mai	n Bo	oard and GEM listed is	
	Type of Issue					No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
	Type of issue						
			Class of shares issuable (Note 1)		_		
5.	Scrip dividend At price : State currency	N/A	Issue and allotment date : (dd/mm/yyyy)	(/ /)		
			AGM approval date: (dd/mm/yyyy)	(/ /)		
			Class of shares repurchased (Note 1)				
6.	Repurchase of shares	N/A	Cancellation date : (dd/mm/yyyy)	(/ /)		
			EGM approval date: (dd/mm/yyyy)	(/ /)		
			Class of shares redeemed (Note 1)				
7.	Redemption of shares	N/A	Redemption date : (dd/mm/yyyy)	(/ /)		
			EGM approval date: (dd/mm/yyyy)	(/ /)		
			Class of shares issuable (Note 1)				
8.	Consideration At price : State currency	N/A	Issue and allotment date : (dd/mm/yyyy)	(/ /)		
			EGM approval date: (dd/mm/yyyy)	(/ /)		
1							

For Main Board and GEM listed issuers

(2) N/A

N/A

N/A

	Type of Issue						No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which ma be issued pursuant thereto as at close of the month
	1ype of 1880e			Class of shares issuable (Note 1)				
9.	Capital reorganisation		N/A	Issue and allotment date : (dd/mm/yyyy)	(/ /)		
				EGM approval date: (dd/mm/yyyy)	(/ /)		
				Class of shares issuable (Note 1)				
10.	Other (Please specify)	At price : State currency	N/A	Issue and allotment date : (dd/mm/yyyy)	(/ /)		
				EGM approval date: (dd/mm/yyyy)	(/ /)		
				Total E. (F	(Ordinary s Preference s (Other	shar	es) N/A es) N/A ss) N/A	- - -
		ر (د د د د د د د د د د د د د د د د د د	ch out o	luring the month (i.e. Total of A	40 E):		(1) 323,873	

Total increase / (decrease) in preference shares during the month (i.e. Total of A to E):

Total increase / (decrease) in other classes of shares during the month (i.e. Total of A to E):

(These figures should be the same as the relevant figures under II above ("Movements in Issued Share Capital").)

Remarks (if any):
Submitted by: Chan Fung Shan
Title: Assistant Company Secretary (Director, Secretary or other duly authorised officer)
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Notes:

- 1. State the class of shares (e.g. ordinary, preference or other).
- 2. If there is insufficient space, please append the prescribed continuation sheet.