

Online Equities Trading Customer Account Review (CAR)

1 Particulars of account holder

Account holder details

Salutation (Please tick) Dr Mr Mrs Ms Mdm Full name as in NRIC/Passport

NRIC/Passport no. Existing securities trading account no.:

2 Customer Assessment Review (CAR)

Customer Account Review allows me to ascertain whether I have the relevant knowledge or experience to understand the risks and features of the product before proceeding with my application to open an account to trade in listed Specified Investment Products (such as foreign stocks, exchange-traded funds and other listed securities with embedded derivatives).

Please answer the questions below:	Please tick:	If yes, please specify: (mandatory)
1a I possess relevant work experience <i>(i.e. a minimum of 3 consecutive years of working experience in the past 10 years in the development of, structuring of, management of, sale of, trading of, research on and analysis of investment products (as defined in section 2 of the FAA; any capital markets product as defined in section 2(1) of the SFA; any life policy; or any other product as may be prescribed) or the provision of training in investment products. Work experience in accountancy, actuarial science, treasury or financial risk management activities will also be considered relevant experience. Such working experience would also include the provision of legal advice or possession of legal expertise on the relevant areas listed above.)</i>	<input type="checkbox"/> Yes <input type="checkbox"/> No	Employer's name: _____ Job title: _____ Number of years in this position in the last ten (10) years: _____
1b I possess relevant education/professional qualification <i>(Relevant education i.e. a diploma or has higher qualifications in accountancy, actuarial science, business/ business administration/ business management/ business studies, capital markets, commerce, economics, finance, financial engineering, financial planning, computational finance and insurance Professional finance-related qualification include Chartered Financial Analyst Examination conducted by the CFA Institute, USA and the Association of Chartered Certified Accountants (ACCA) Qualifications)</i>	<input type="checkbox"/> Yes <input type="checkbox"/> No	Degree, diploma or professional qualification attained: _____ Year graduated: _____ Name of school (if applicable): _____
1c I possess investment experience in listed specified investment products (SIP) and I have transacted at least six (6) times in listed SIPs in the last three (3) years.	<input type="checkbox"/> Yes <input type="checkbox"/> No	

If your answer to question 1c is yes, please state the following trade details:

No.	Name of Financial Intermediary:	Name of listed specified investment products (SIP):	Date of Purchase (MM/YYYY):
1.			
2.			
3.			
4.			
5.			
6.			

3 Customer declaration

I acknowledge that I have received, read and understood fully all the Bank's prevailing Terms and Conditions (Customer Terms/Current/Cheque/Savings Account and Time Deposit Terms/Investment Product Terms/Online Equities Trading Terms) and agree to be bound by them in connection with all Accounts opened by us and/or Investment Products purchased or to be purchased with the Bank. I further agree to be bound by any additional terms and conditions governing any facilities, products and/or services offered by the Bank as I may apply for and/or utilize from time to time. I represent that the information provided by us in this form and in any document(s) furnished or to be furnished by me is true, accurate and complete. I also hereby agree and confirm that all my instructions provided to the Bank by me in form (on all pages) are correct and that the Bank is fully authorised and entitled to act and rely on the said instructions and I agree to be bound by them. I acknowledge that the Bank may decline my application without providing any reason, in which event no contractual relationship will arise between the Bank and me."

Account holder's signature

Date

Verified by

For Bank use only

Attended by	Branch
Referred by	Remarks
Sales code	Verified by

